

# Cone Penetration Test

## The Use of Soil Behavior Types and Correlation of Geotechnical Parameters



**Ir. Raymond S. M. Chan of (Bachy Soletanche Group Ltd)**

MSc (Const. Mgt), MSc (App. Geo), MHKIE, RPE (Civil), AS (GIFW), MASCE, MCIOB, FGS

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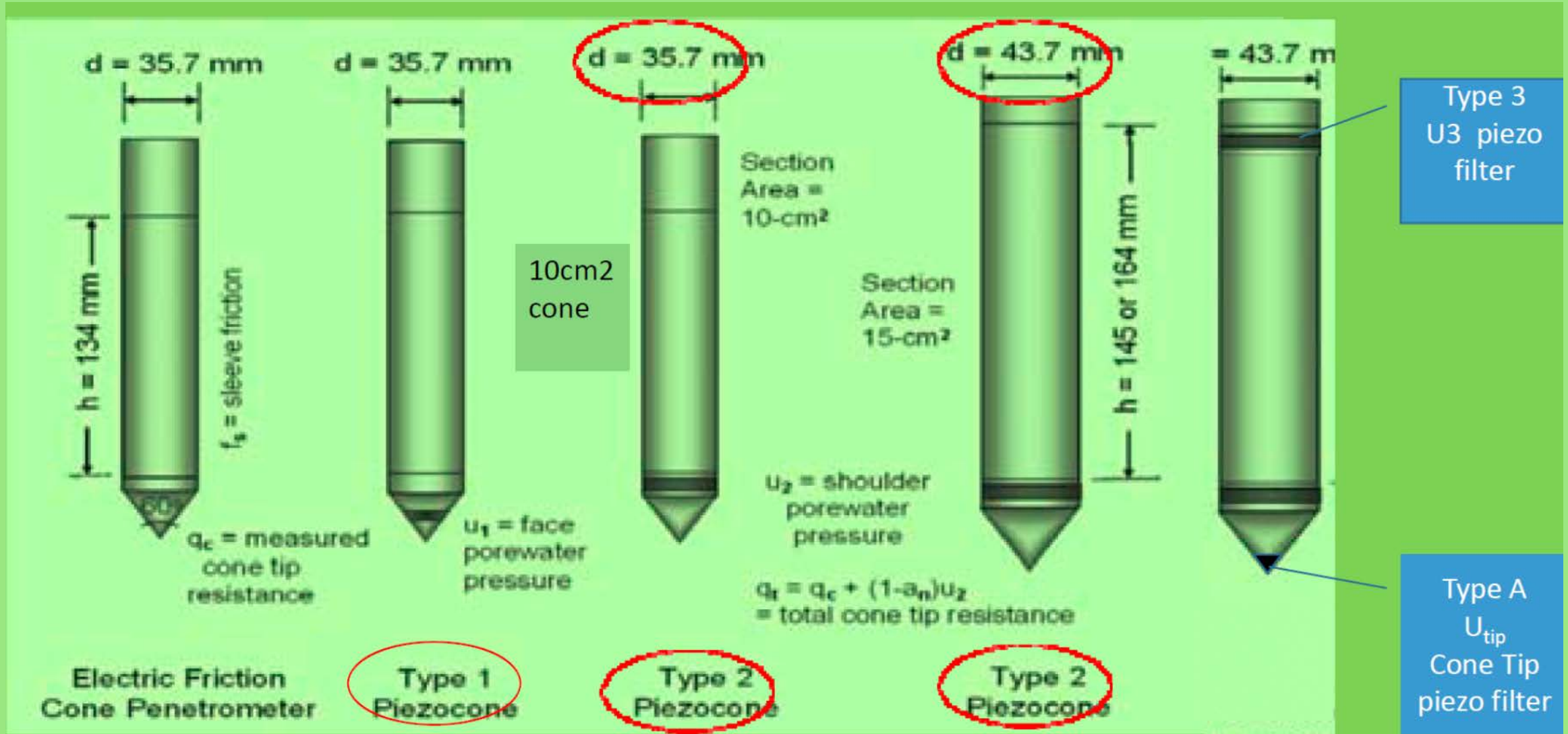
**Application of CPT**

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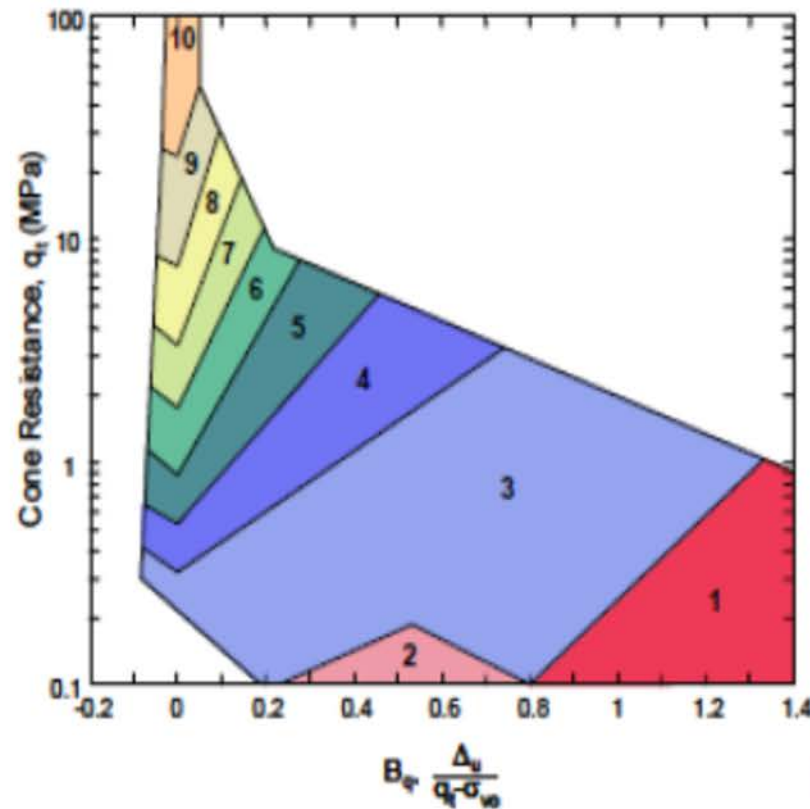
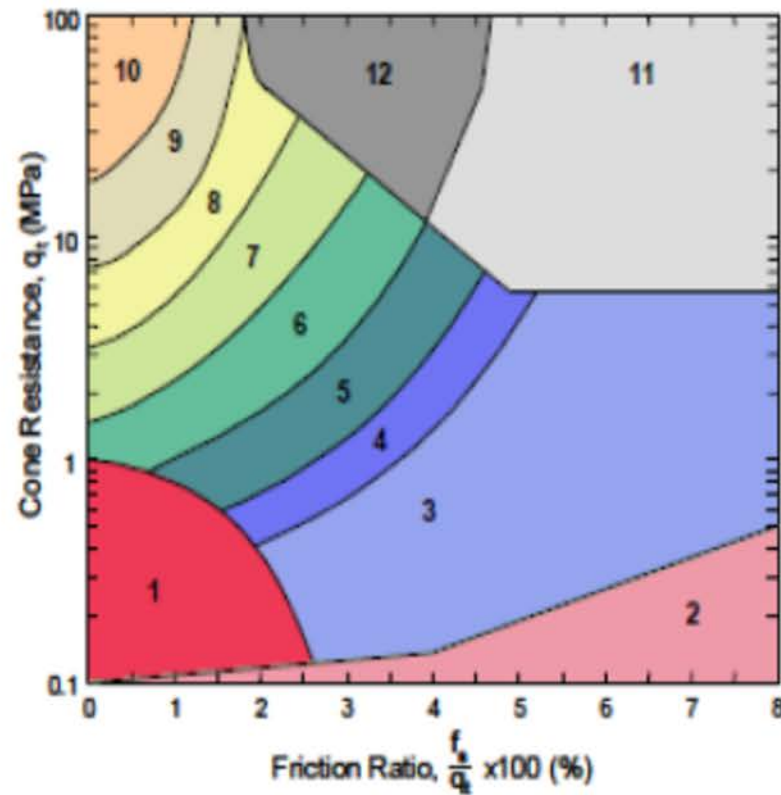
**Challenges for Adopting CPT in Hong Kong**

# Dimensions and Measurements Taken by 10 cm<sup>2</sup> and 15 cm<sup>2</sup> Piezo Cone Penetrometers





# CPTu Soil Behaviour Type (SBT) Chart by Robertson et al 1986)



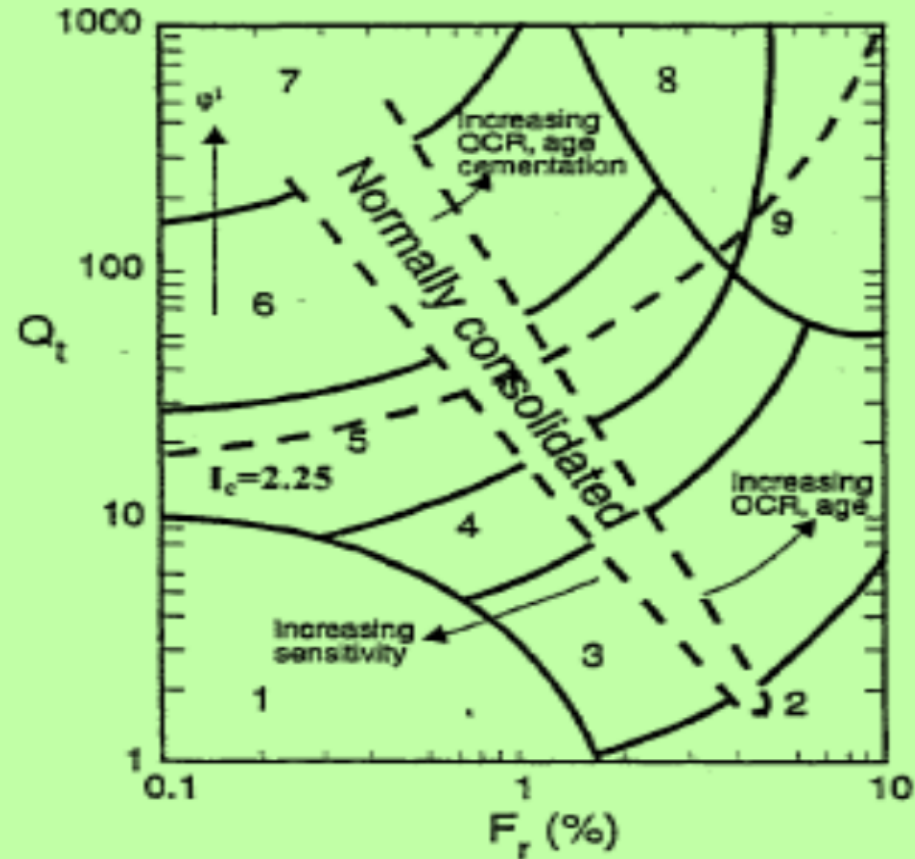
| Zone | Soil Behavior Type        |
|------|---------------------------|
| 1    | Sensitive, Fine Grained   |
| 2    | Organic Material          |
| 3    | Clay                      |
| 4    | Silty Clay to Clay        |
| 5    | Clayey Silt to Silty Clay |
| 6    | Sandy Silt to Clayey Silt |
| 7    | Silty Sand to Sandy Silt  |
| 8    | Sand to Silty Sand        |
| 9    | Sand                      |
| 10   | Gravelly Sand to Sand     |
| 11   | Very Stiff Fine Grained*  |
| 12   | Sand to Clayey Sand*      |

\*Overconsolidated or Cemented

# Soil Classification with Soil Behaviour Type (SBT)

- ❖ Soil Behavior Type (STB) Robertson 1986
- ❖ The **Soil Behavior Type (SBT)** provides a guide to mechanical characteristics like strength, stiffness and compressibility of soil.
- ❖ It is different from the physical characteristics like grain size distribution and Atterberg limits that classified by **Soil Classification Unit System (SCUC)** and the traditional particle size distribution classification soil from **Geoguide 3**.
- ❖ In general, this chart is appropriate for CPT at **depth of not greater than 20m**.

# Normalized Soil Behavior Type (Robertson 1990)



$$Q_t = \frac{q_t - \sigma_{vo}}{\sigma'_{vo}}$$

$$B_q = \frac{u_2 - u_0}{q_t - \sigma_{vo}}$$

$$F_r = \frac{f_s}{q_t - \sigma_{vo}} \times 100\%$$

## Zone Soil behaviour type

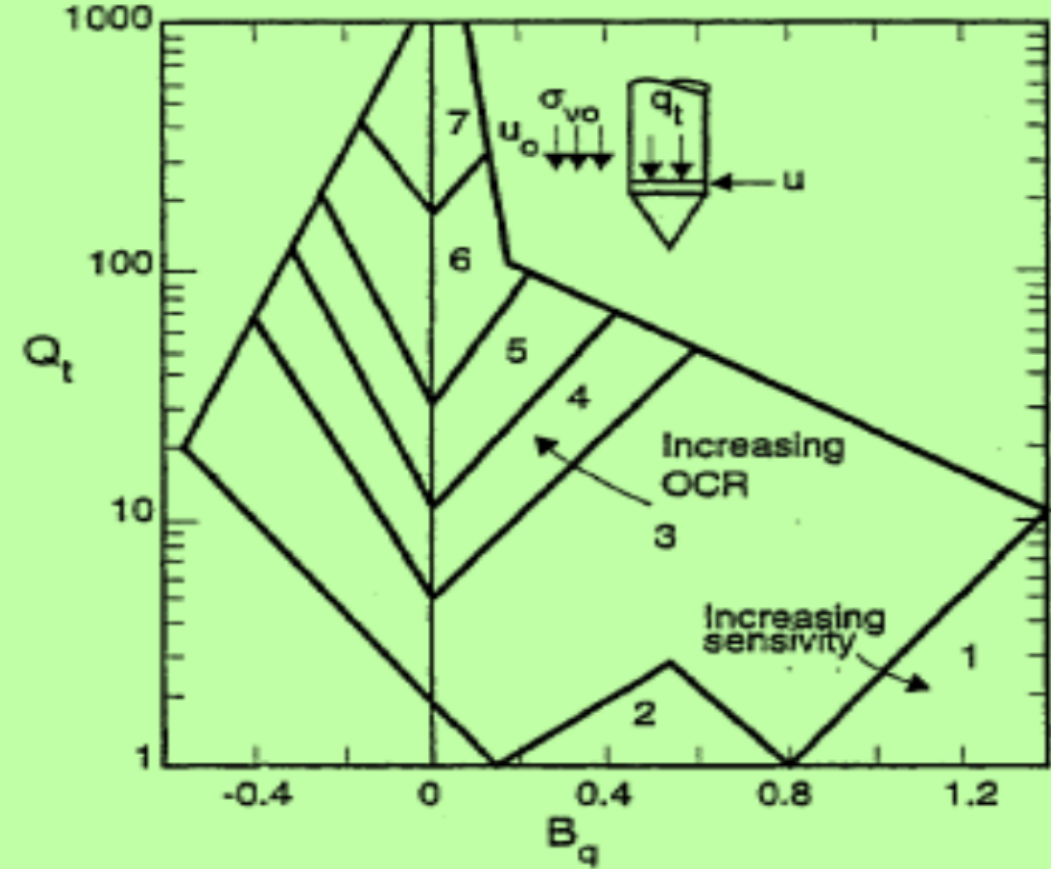
1. Sensitive, fine grained;
2. Organic soils-peats;
3. Clays-clay to silty clay;

## Zone Soil behaviour type

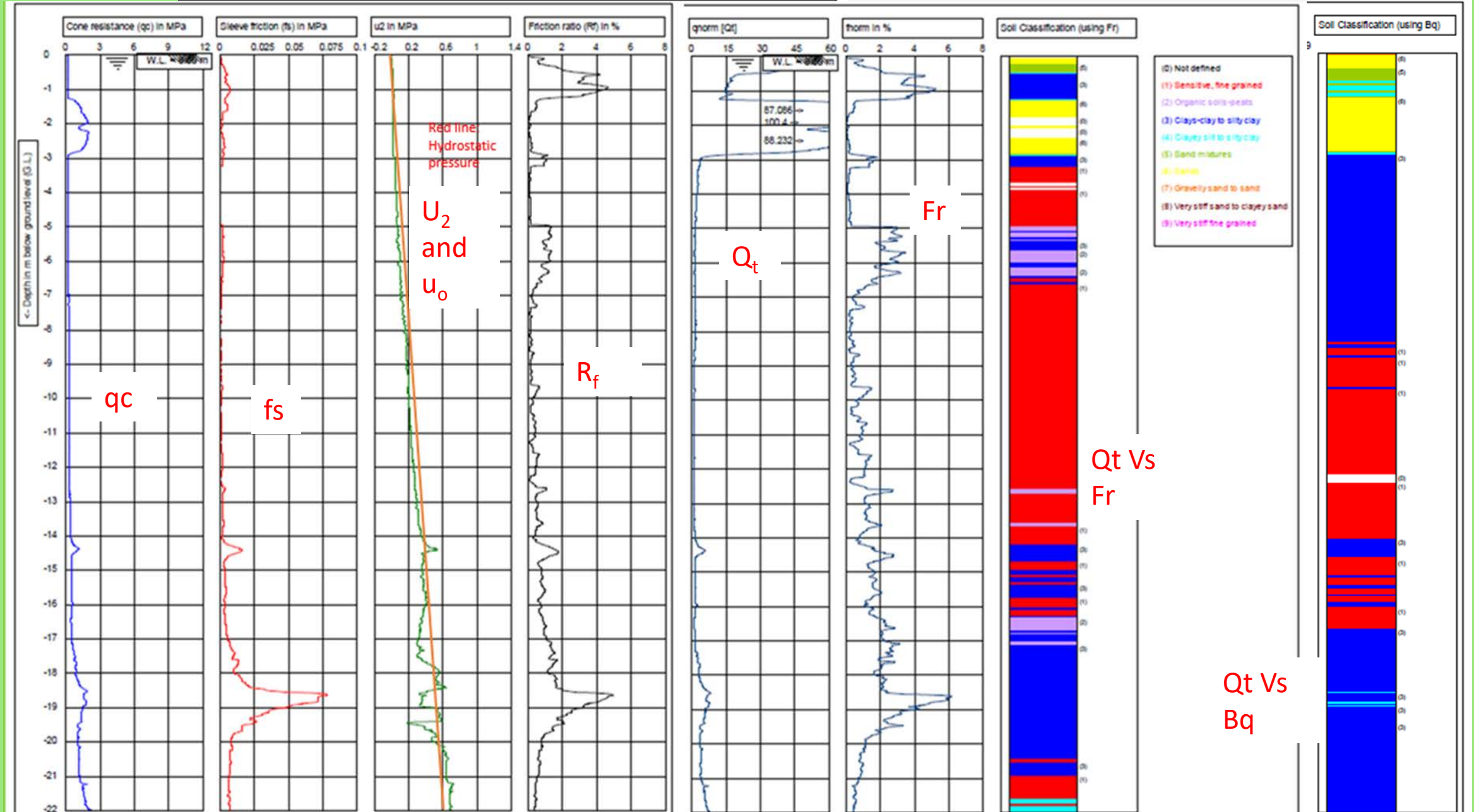
4. Silt mixtures clayey silt to silty clay
5. Sand mixtures; silty sand to sand silty
6. Sands; clean sands to silty sands

## Zone Soil behaviour type

7. Gravely sand to sand;
8. Very stiff sand to clayey sand
9. Very stiff fine grained



# Comparison of the STB Classification by $Q_t$ - $F_r$ plot and $Q_t$ - $B_q$ Plots





# Contours of SBT Index, $I_c$ on CPT Normalized SBT $Q_t$ – $F_r$ Chart

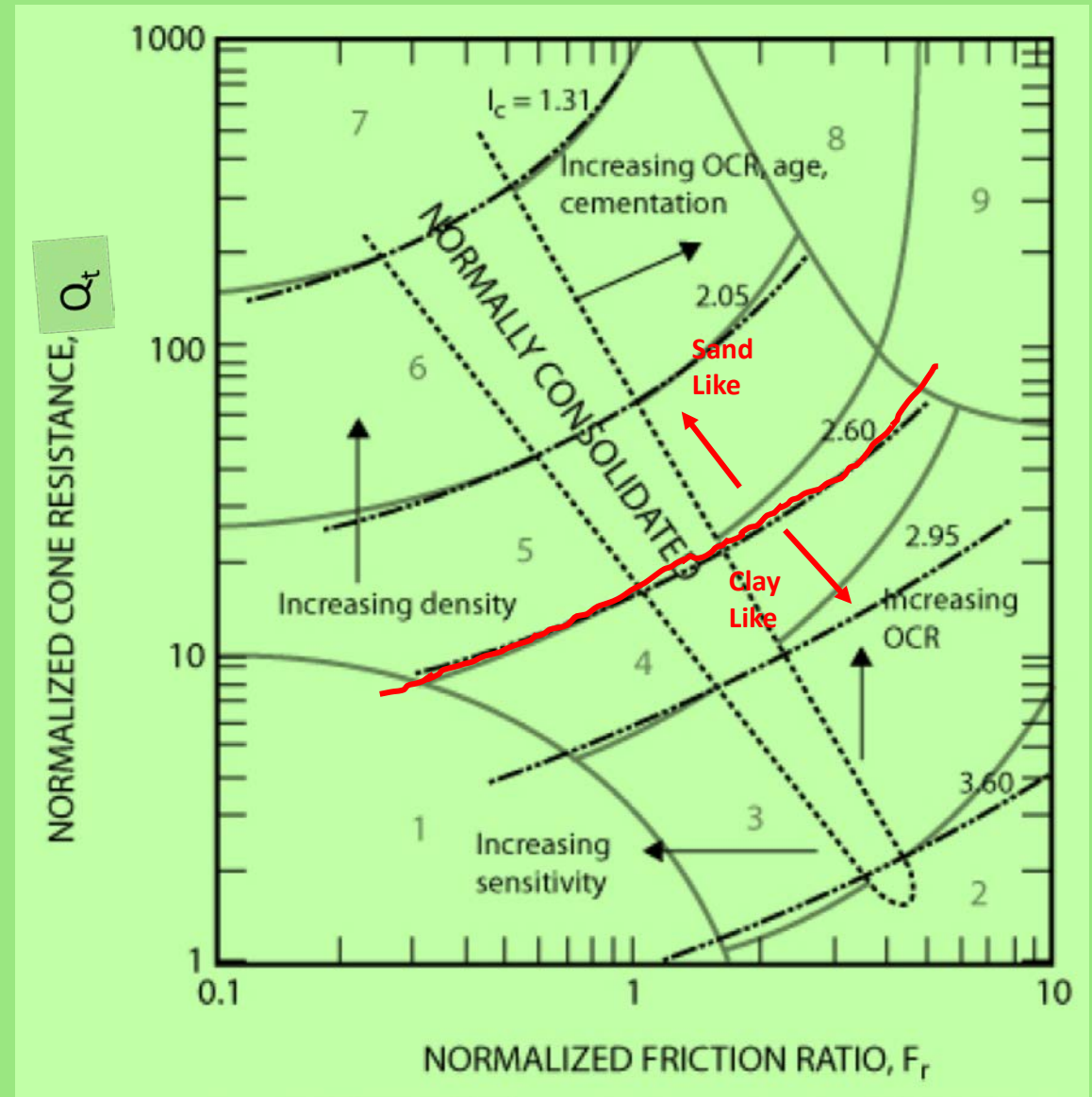
$$I_c = ((3.47 - \log Q_t)^2 + (\log F_r + 1.22)^2)^{0.5}$$

where:

- $Q_t$  = normalized cone penetration resistance (dimensionless)  
 =  $(q_t - \sigma_{vo}) / \sigma'_{vo}$   
 $F_r$  = normalized friction ratio, in %  
 =  $(f_s / (q_t - \sigma_{vo})) \times 100\%$

| Zone | Soil Behavior Type                        | $I_c$       |
|------|---|-------------|
| 1    | Sensitive, fine grained                   | N/A         |
| 2    | Organic soils – clay                      | > 3.6       |
| 3    | Clays – silty clay to clay                | 2.95 – 3.6  |
| 4    | Silt mixtures – clayey silt to silty clay | 2.60 – 2.95 |
| 5    | Sand mixtures – silty sand to sandy silt  | 2.05 – 2.6  |
| 6    | Sands – clean sand to silty sand          | 1.31 – 2.05 |
| 7    | Gravelly sand to dense sand               | < 1.31      |
| 8    | Very stiff sand to clayey sand*           | N/A         |
| 9    | Very stiff, fine grained*                 | N/A         |

\* Heavily overconsolidated or cemented





# Updated Normalization of STBn with $I_c$ , $Q_{tn}$ , and $n$ Iteration

$$I_c = \sqrt{(3.47 - \log Q_{tn})^2 + (\log F + 1.22)^2}$$

$$Q_{tn} = \left( \frac{q_t - \sigma_{v0}}{\sigma_{atm}} \right) \left( \frac{\sigma_{atm}}{\sigma'_{v0}} \right)^n$$

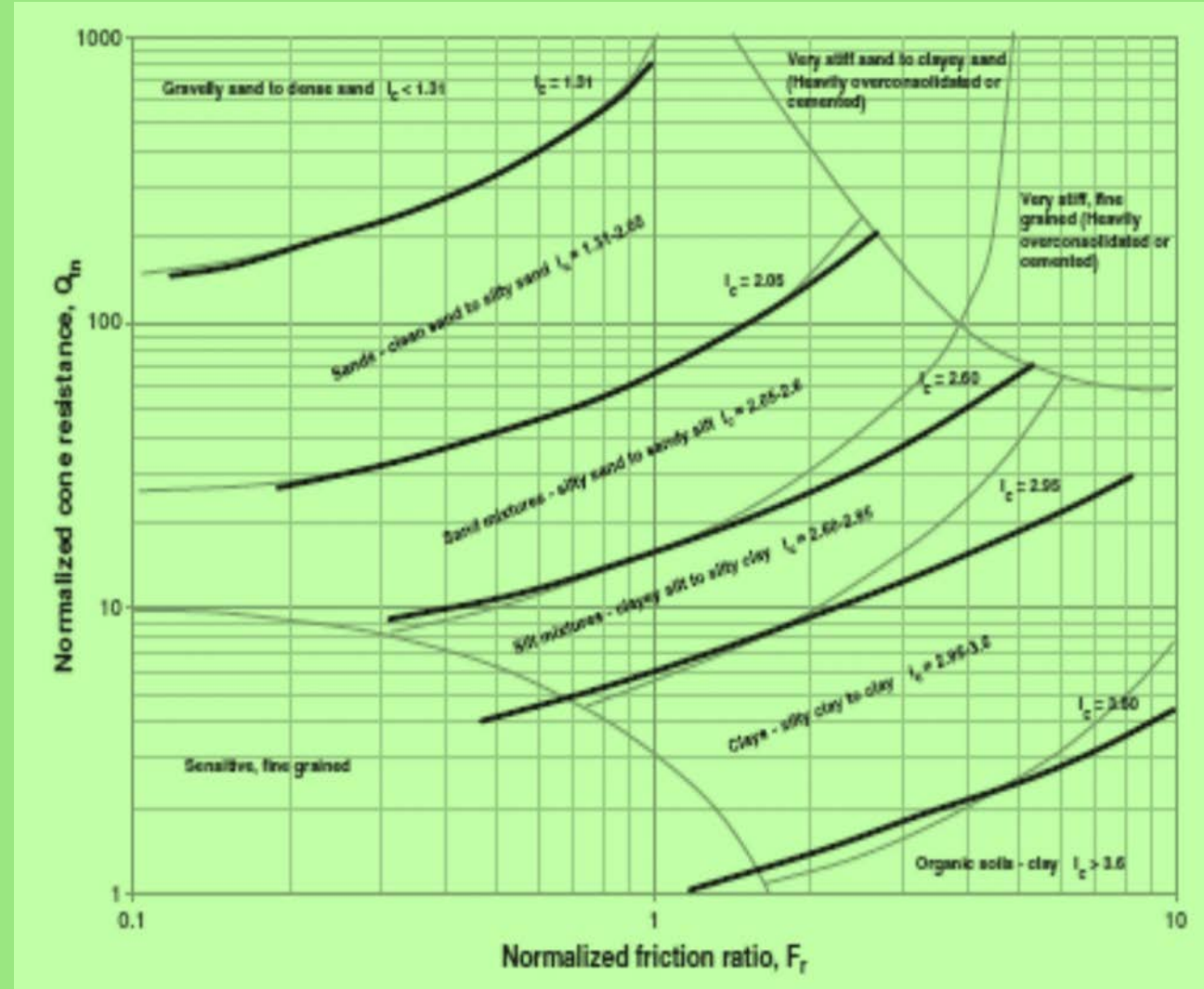
$$n = 0.381 \cdot I_c + 0.05 \cdot \left( \frac{\sigma'_{v0}}{\sigma_{atm}} \right) - 0.15$$

Where  $\sigma_{atm}$  ( or Pa in some textbooks) is the atmospheric pressure = 100KPa = 1 bar

$n$  is the Stress Exponent

=1 for clay, =0.5 for sand, =0.70 for silt

If  $n=1$ ,  $Q_{tn} = Q_{t1} = Q_t$  and it comes back to the same equation for  $Q_t$  again for clay



# Iteration Procedures

$$Q_{tn} = \frac{(q_t - \sigma_{vo}) / \sigma_{atm}}{(\sigma'_{vo} / \sigma_{atm})^n}$$

Step 3, put n  
to calculate  
Qtn again.

$$n = 0.381 \cdot I_c + 0.05 \left( \frac{\sigma'_{vo}}{\sigma_{atm}} \right) - 0.15$$
$$n \leq 1.0$$

Step 2,  
put the  
calculate  
Ic to  
calculate  
n again.

$$I_c = \sqrt{[3.47 - \log Q_{tn}]^2 + [1.22 + \log F_r]^2}$$

Step 1,  
Use n=1,  
Qtn=Qt,  
Calculate  
Ic.

Step 4, Ic from  
new Q<sub>tn</sub> at  
least 3th  
iteration or  
more, the I<sub>c</sub>  
and n will be  
convergent to  
a practicable  
value.

# Correlation of Undrained Shear Strength and CPT

$$s_u = \frac{q_t - \sigma_v}{N_{kt}}$$

(Kulhawy & Mayne, 1990)

- Typically  $N_{kt} \sim 10$  to  $18$ , Averagely with  $14$ .
- $N_{kt}$  tends to increase with increasing plasticity
- Decrease with increasing soil sensitivity.
- It is applicable for SBTn in **Zone 1, 2, 3, 4 and 9**

$N_{kt}$  is in range below and the values are normally used. However, the use

- CPT value in **fissured clays is restrained (Meisina, 2013)**.
- Soft clay:  $N_{kt} = 14 \pm 4$
- Overconsolidated clay:  $N_{kt} = 17 \pm 5$
- **Fissured clay:  $N_{kt} = 10 \pm 30$**

Lunne et al., 1997 showed that  $N_{kt}$  varies with  $B_q$ , where  $N_{kt}$  decreases and  $B_q$  increases, when  $B_q \sim 1.0$  (i.e.. sensitive clay),  $N_{kt}$  can be as low as 6.



# Approach II Area of Chek Lap Kok Airport

Where  $N_k$   
should be  
read as  $N_{kt}$

Summary of design parameters (Greiner-Maunsell, 1991a)

| Typical Index Properties and Recommended Design Parameters   | Upper Soft Clay  | Stiff Clay                   | Firm-to-Stiff Clay | Lower Sand |
|--|------------------|------------------------------|--------------------|------------|
| Unit Weight ( $Mg/m^3$ )                                     | 1.45             | 1.90                         | 1.85               | 2.00       |
| Void Ratio, $e_o$  | 2.00             | (2)                          | 1.03               | 0.65       |
| Maximum Past Pressure, $P_p$ <sup>(3)</sup>                  | $P_p = 4.5 + 7z$ | N.A.                         | $P_p = 55 + 15z$   | N.A.       |
| Compression Index, $C_c$                                     | 1.20             | (2)                          | 0.42               | N.A.       |
| Recompression Index, $C_{cr}$                                | 0.10             | (2)                          | 0.085              | 0.03       |
| Coeff. of Consolidation, $c_v$ ( $m^2/year$ )                | 1.3              | (2)                          | 2.2                | N.A.       |
| Coeff. of Reconsolidation, $c_{vr}$ ( $m^2/year$ )           | 20               | (2)                          | 15                 | N.A.       |
| Undrained Shear Strength<br>$N_k = q_{net}/S_u$ ( $kN/m^2$ ) | $N_k = 23.5$     | $N_k = 21.25$ <sup>(1)</sup> | $N_k = 17$         | N.A.       |
| Secondary Compression $C_\alpha$                             | N.A.             | 0.3%                         | 1.5%               | N.A.       |

Notes: (1) A material factor of 0.8 was applied to the Stiff Clay for a conservative solution i.e.  $N_k = 17 \times 0.8 = 21.25$ .

(2) Data extracted from Figure 5.12 for each area.

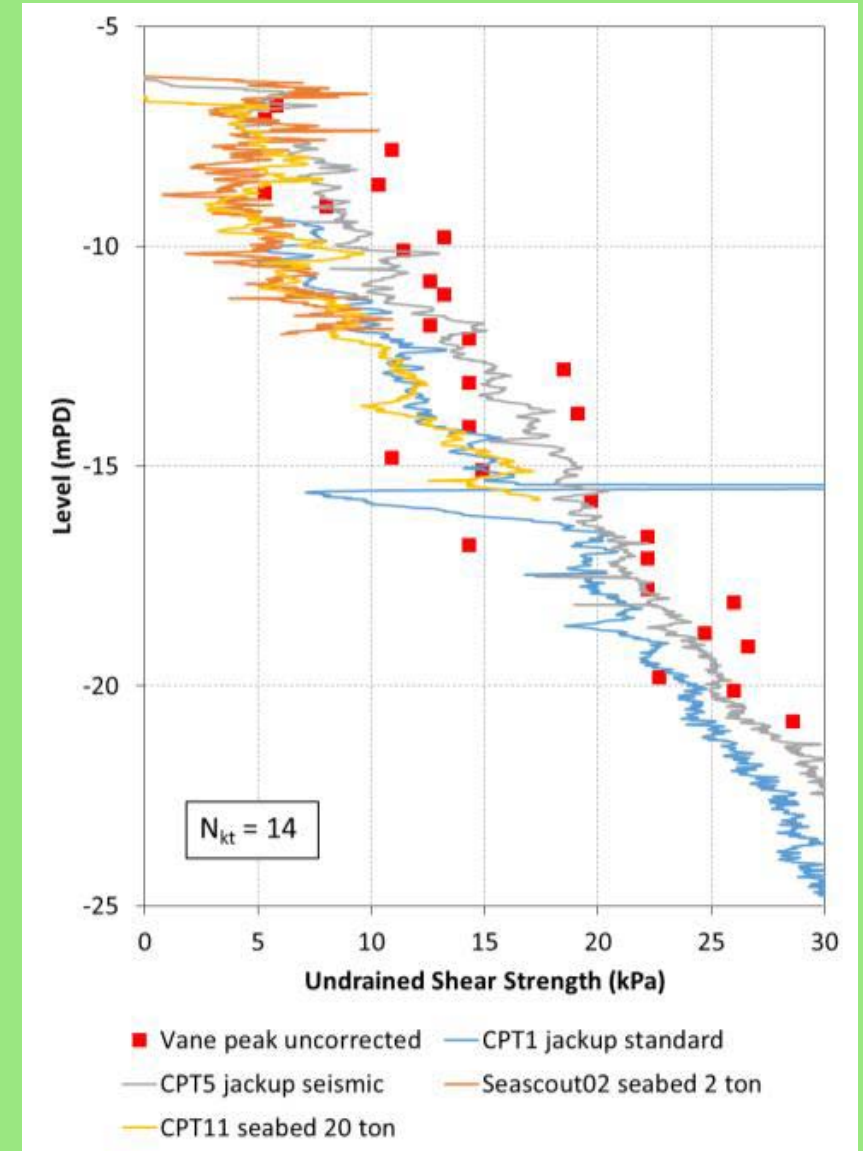
(3) "z" is depth below the seabed.

## Plots of Vane Shear Results and CPT at the Site in Lantau

- $N_{kt}$  is ranged between 9 and 18.
- The mean of  $N_{kt}$  is 14 is adopted for the site

It was found that the deviation is much at shallow depth from seabed -3 to -10mPD. It may be due to the weight of the 20 ton CPT seabed unit that disturbed the soil strength.

Conclusion: To be more conservative for other calculation, take  $N_{kt}$  to be 16.



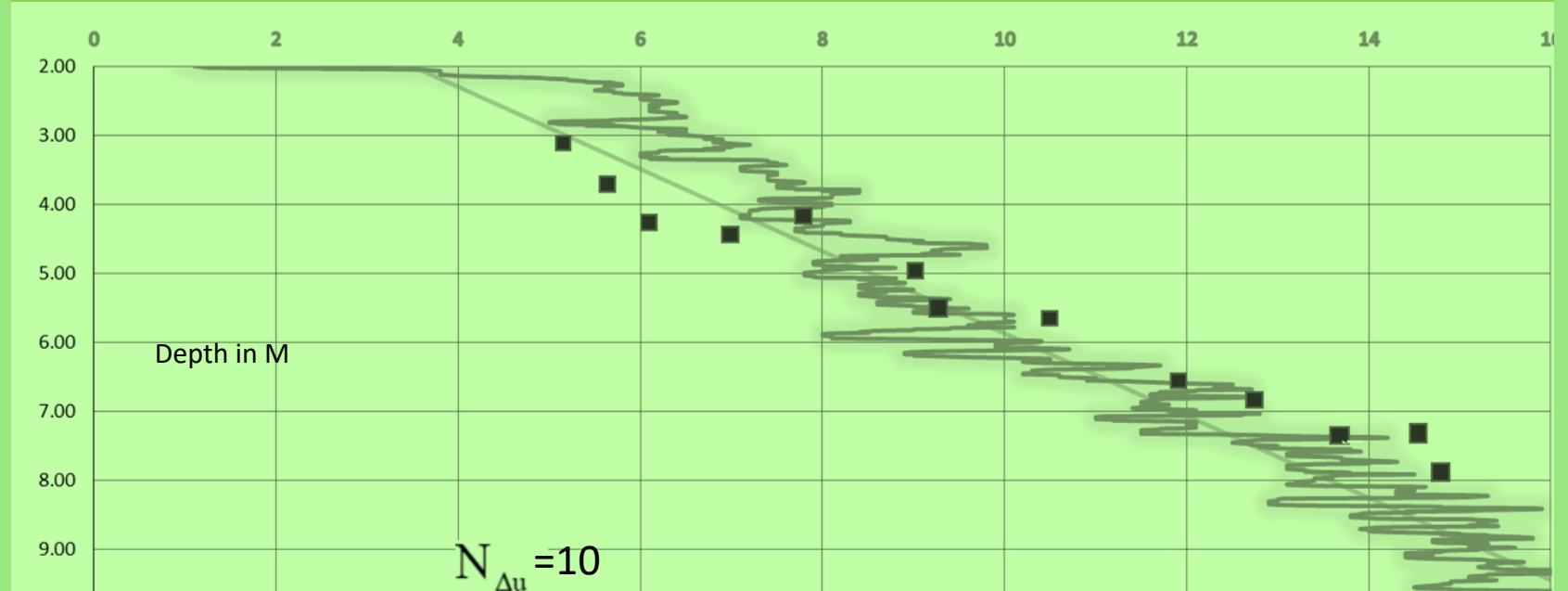
## Vane Shear Test and Excess Pore Pressure from CPT

As  $q_c$  may not be measured in accuracy in very soft clay at shallow and intermittent depth, the  $N_{kt}$  values will not be applicable. The following equation should be used:

$$s_u = \frac{\Delta u}{N_{\Delta u}}$$

$$N_{\Delta u} = B_q N_{kt}$$

### Vane Shear Tests and Excessive Pore Pressure



$N_{\Delta u}$  should be ranged between 4 and 10, but the field tests at Site B in Hong Kong found that most of the results are ranged between 8 and 13, and the average value is around 10.

**Note** - No clogging of cone filter.

- Cone should be fully is saturated without air bubble.
- It is seldom to be used in Hong Kong in very soft clay strata.



# Peak and Remolded Undrained Shear Strengths

Apart from the CPT could be derived to evaluating the peak strength of the clay, the equation expressed the measured sleeve friction resistance ( $f_s$ ) that can be considered as a remolded shear strength of clays (Gorman, et al. 1975):

$$f_s \approx s_u \text{ (remolded)}$$

This can serve as a lower bound in assessing the  $s_u$  profile.

It is applicable for SBTn in Zone 1, 2, 3,4 and 9

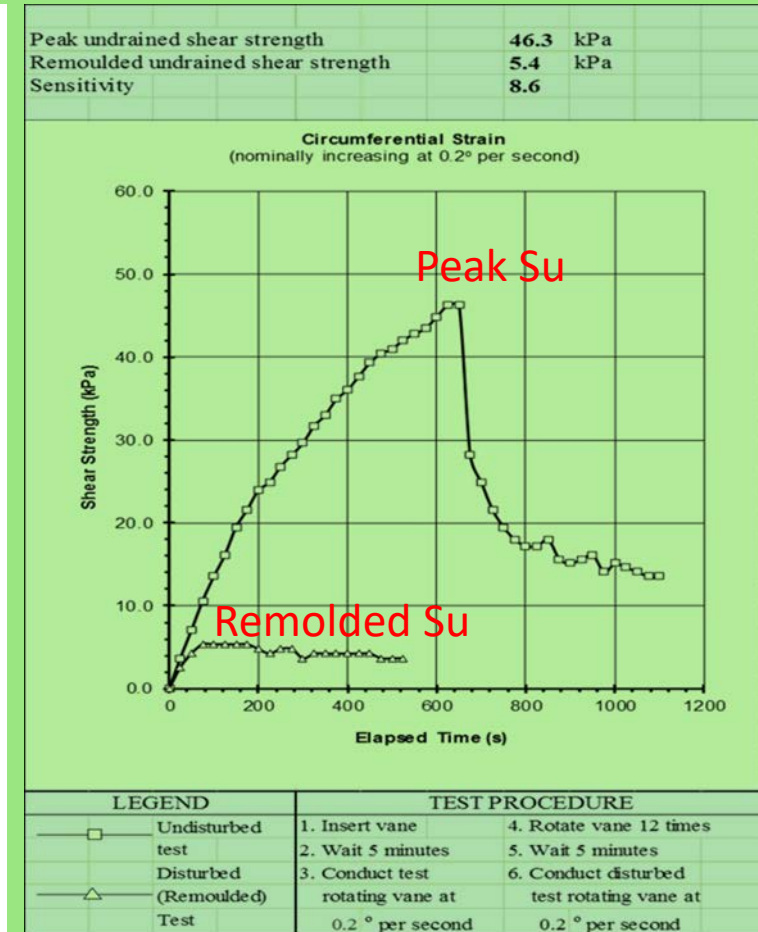
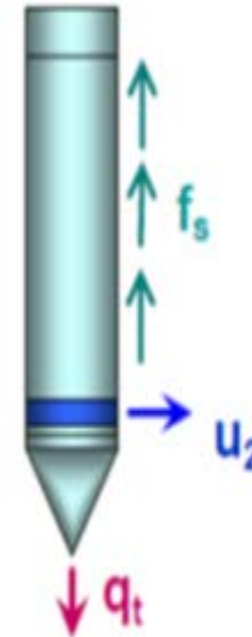
- $s_u = c_u =$  undrained shear strength
- Independent evaluation by all three readings:

$$s_u \text{ (peak)} = (u_2 - u_0)/N_{\Delta u}$$

$$N_{\Delta u} \approx 10$$

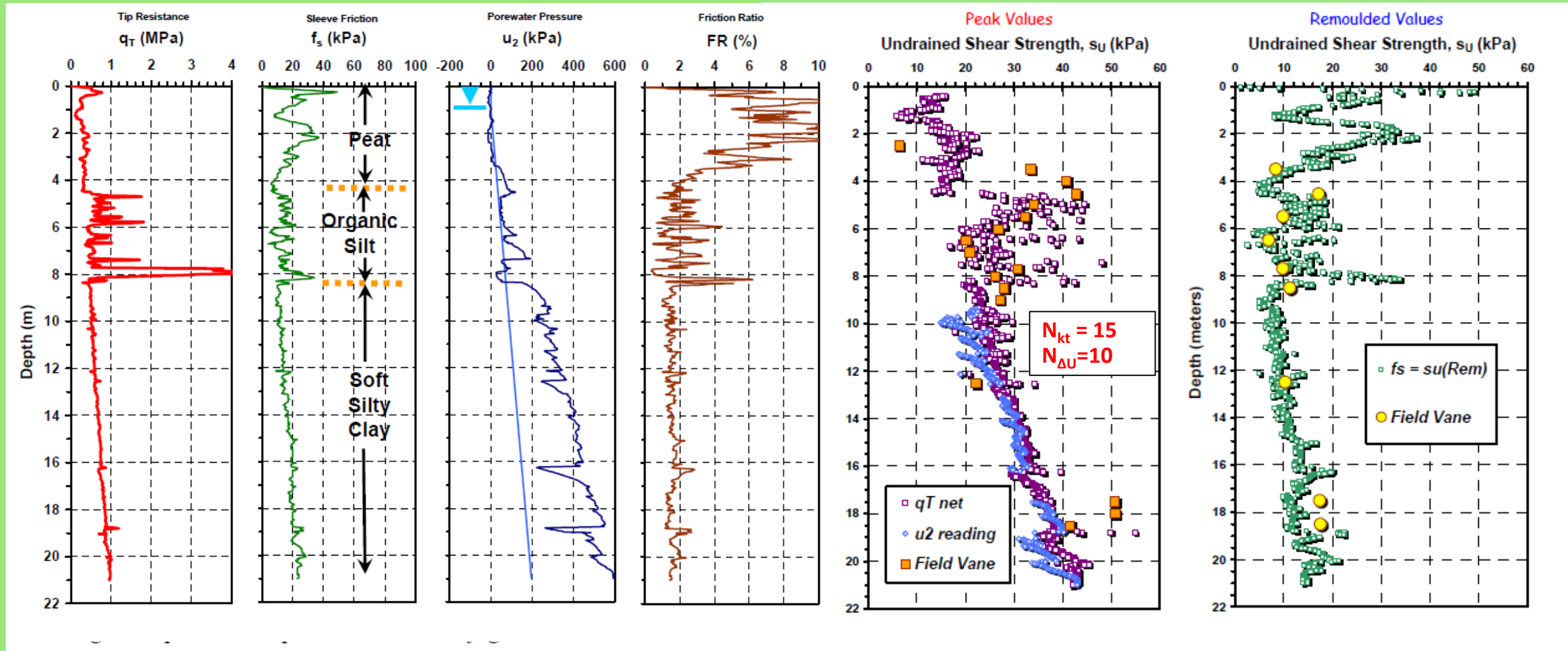
$$s_u \text{ (peak)} = (q_t - \sigma_{vo})/N_{kt}$$

$$s_u \text{ (remolded)} \approx f_s$$



Field Vane Shear Test

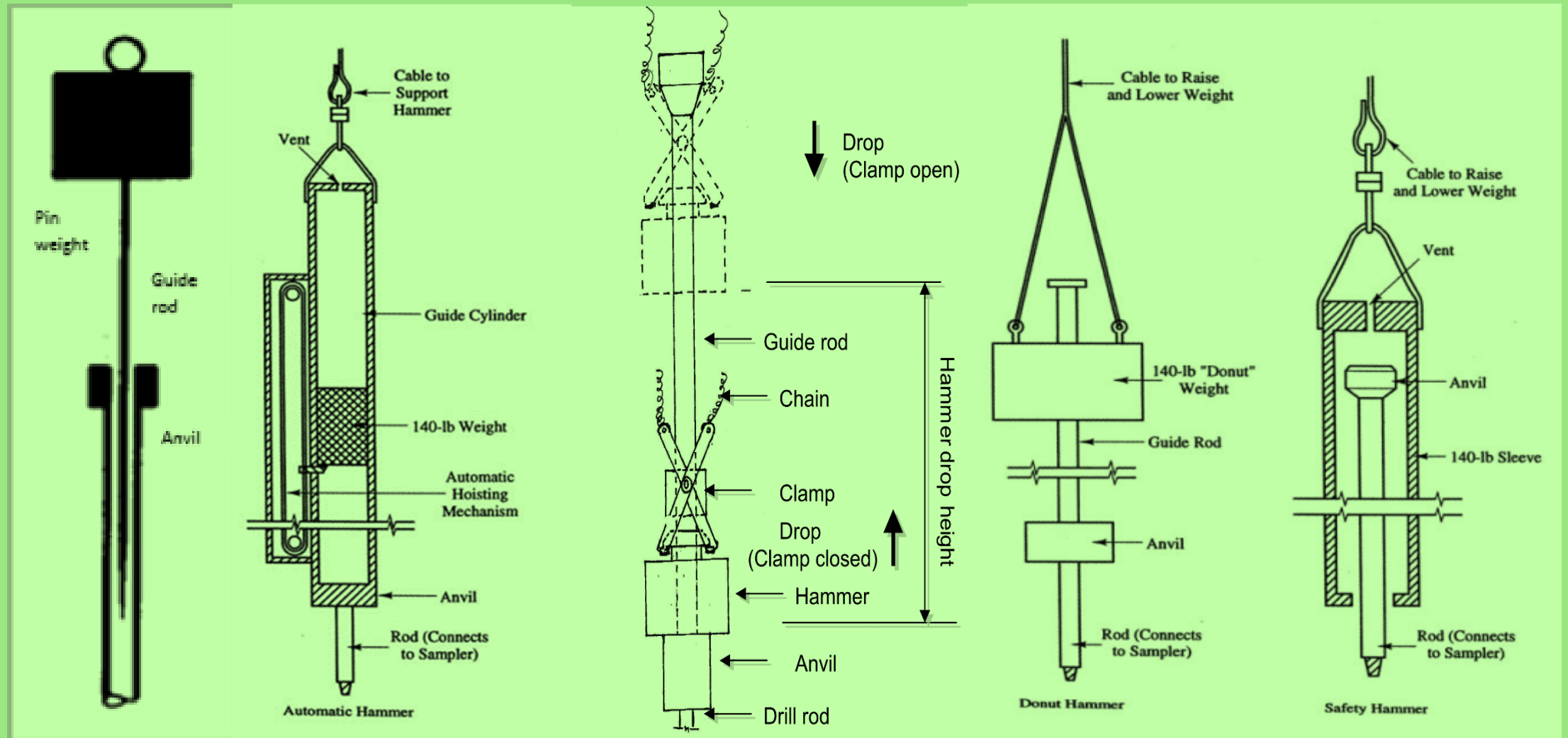
# Typical Field Test Results with Interpretation of Peak $s_u$ and $s_u$ (Remoulded)



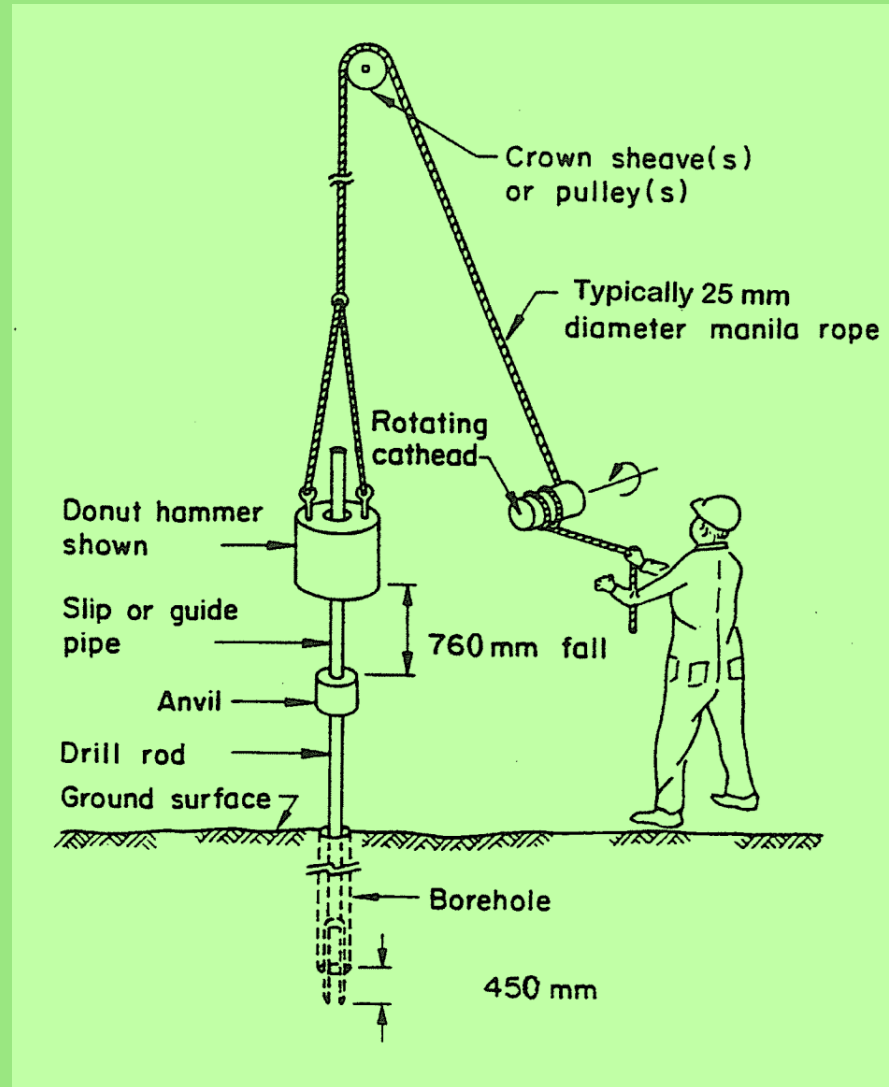
# SPT and CPT Correlation



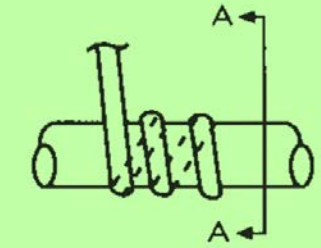
# Different Types of SPT Hammers



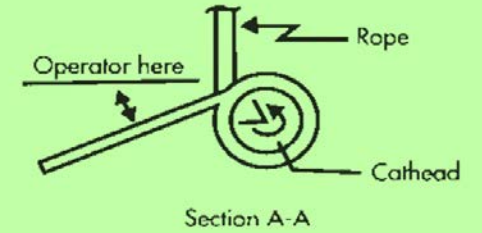
- The rope and cathead Donut hammer is driven by manual release with rotating drum.
- The rope and cathead system for auto trip release hammer had occasionally been used in Hong Kong since early Nineties.
- It has been experienced that different skills for personnel will have different efficiencies.



## Turns on Cathead

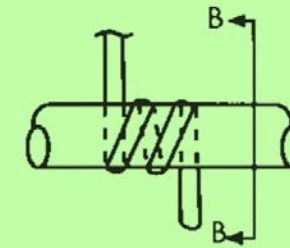


(a) counterclockwise rotation approximately  $1 \frac{3}{4}$  turns

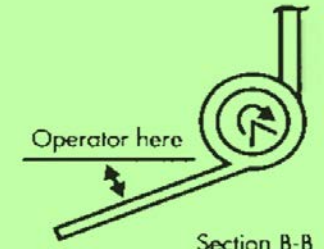


Section A-A

(ASTM 1586)



(b) clockwise rotation approximately  $2 \frac{1}{4}$  turns



Section B-B

### Hammer – release – country

- Donut – free fall (Tombi) – Japan
- Donut – rope and pulley – Japan
- Safety – rope and pulley – USA
- Donut – free fall (Trip) – Europe, China, Australia
- Donut – rope and pulley – China
- Donut – rope and pulley – USA

Question: Will the number of turns be different for a tall or little guy? Height of working platform in steps?

|  | Initial State Parameter |     |    |     | Strength Parameter |     | Deformation Characteristic * |       |     | Flow Characteristic |     |                |
|--|-------------------------|-----|----|-----|--------------------|-----|------------------------------|-------|-----|---------------------|-----|----------------|
| Reliability for CPT Data   |                         |     |    |     |                    |     |                              |       |     |                     |     |                |
| Soil Type  | Dr                      | Ψ   | Ko | OCR | S <sub>t</sub>     | Su  | φ'                           | E, G* | M   | Go*                 | k   | C <sub>h</sub> |
| Coarse-gained (Sand)   | 2-3                     | 2-3 | 5  | 5   |                    |     | 2-3                          | 2-3   | 2-3 | 2-3                 | 3-4 | 3-4            |
| Fine -grained (Clay)   |                         |     | 2  | 1   | 2                  | 1-2 | 4                            | 2-4   | 2-3 | 2-4                 | 2-3 | 2-3            |
| Reliability for SPT Data   |                         |     |    |     |                    |     |                              |       |     |                     |     |                |
| Soil Type  | Dr                      | Ψ   | Ko | OCR | S <sub>t</sub>     | Su  | φ'                           | E, G* | M   | Go*                 | k   | C <sub>h</sub> |
| Coarse-gained (Sand)   | 3-4                     | 4   |    | 5   |                    |     | 3-4                          | 4-5   |     | 4-5                 |     |                |
| Fine -grained (Clay)   |                         | 5   | 5  | 4   | 5                  | 3-4 | 5                            | 4-5   | 5   | 4-5                 | 5   | 5              |
|  |                         |     |    |     |                    |     |                              |       |     |                     |     |                |
| 1=high; 2=high to moderate; 3=moderate; 4=moderate to low; 5=low; Blank = no applicability<br>* Improved by SCPT |                         |     |    |     |                    |     |                              |       |     |                     |     |                |



# CPT and SPT CORRELATION

**Several factors:**

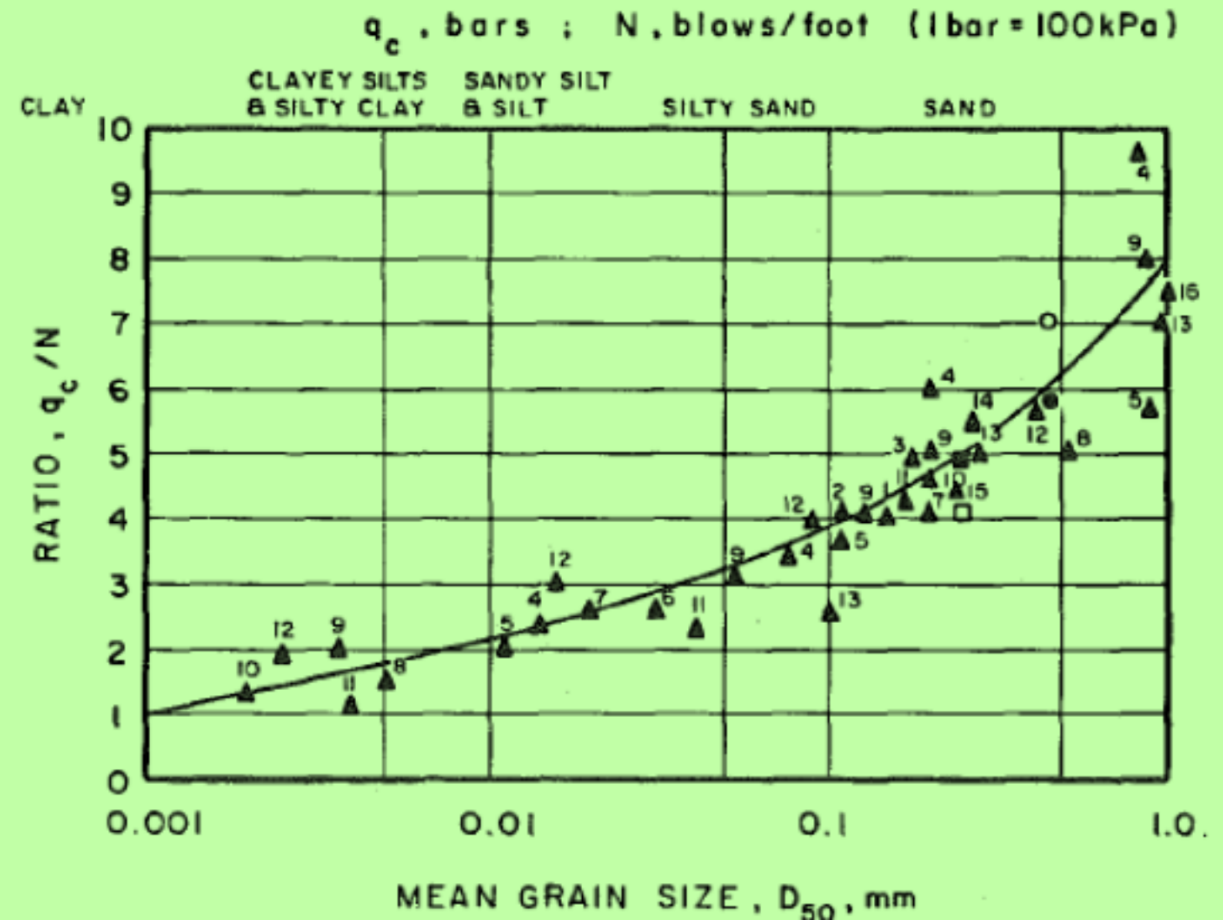
- ❖ **Energy level delivered to SPT with  $N_{60}$  is being used**
- ❖ **Grain size distribution ( $D_{50}$ )**
- ❖ **Fines content (FC)**
- ❖ **Overburden stress and other factors**

**Single most important factor influencing N value is energy delivered to SPT sampler that is expressed as rod energy ratio.**

**Energy ratio of 60% is generally accepted to represent average SPT energy, and the results should be corrected to  $N_{60}$ .**

**Studies by employing the standard donut type hammer with a rope and cathead system:**

1. Meyerhof(1956)
2. Meigh and Nixon
3. Rodin (1981)
4. De Alencar Velloso(1959)
5. Schmertmann(1970)
6. Sutherland(1974)
7. Thornburn & Macvicar (1974)
8. Campanella et al. (1979)
9. Nixon(1982)
10. Kruizinga(1982)
11. Douglas(1982)
12. Muromachi & Kobayashi (1982)
13. Goel(1982)
14. Ishihara & Koga(1981)
15. Laing(1983)
16. Mitchell (1983)



The Relation between  $q_c/N$  and Mean Grain Size from the Previous Studies

## Effect of Fine Content and $q_c$

(Mayne and Kulhawy 1990)

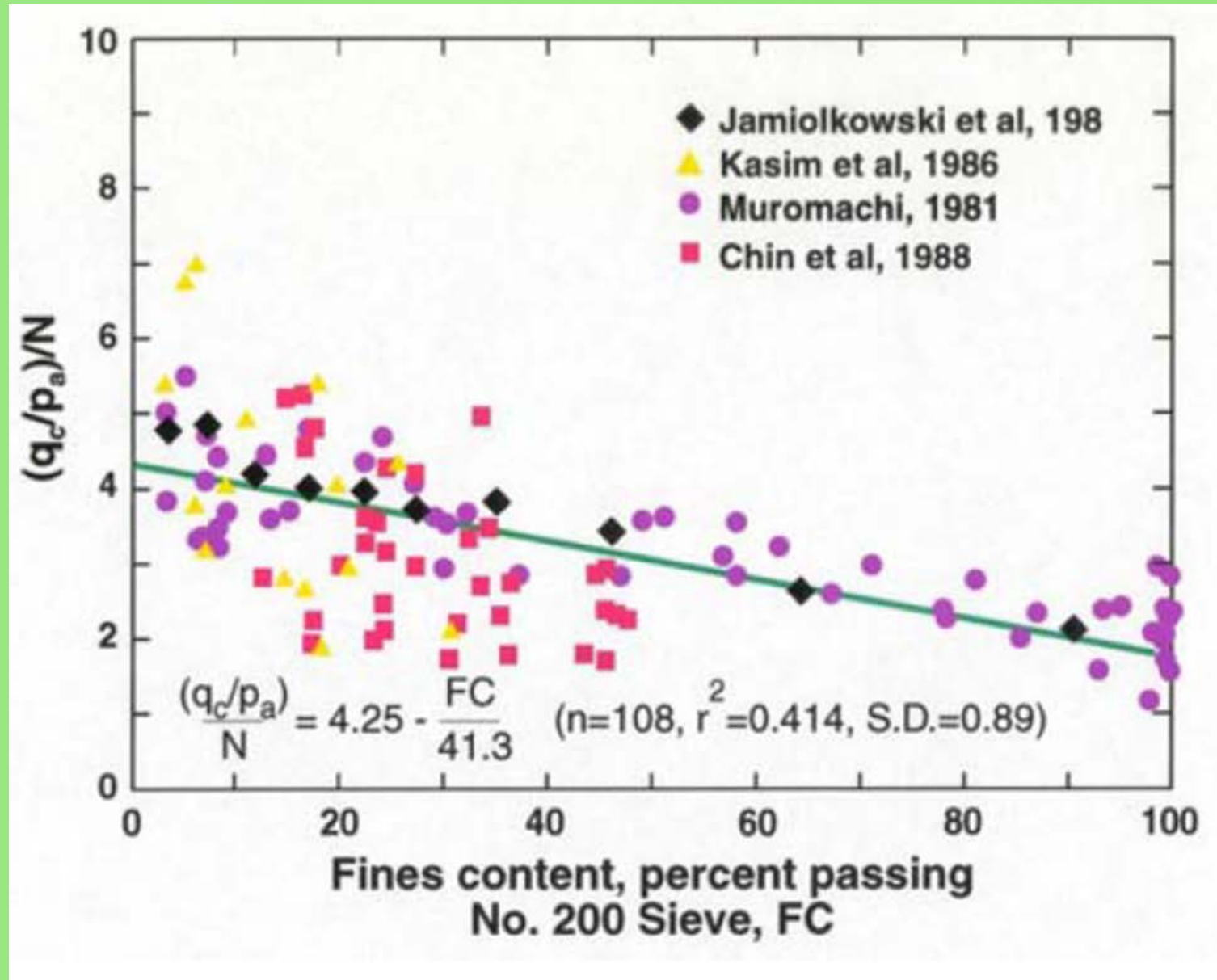
$$\frac{(q_c/P_a)}{N} = 4.25 - FC/41.3$$

Where  $P_a$  is the atmospheric pressure= 1Bar= 100KPa

Fine content is % of soil in weight passing through the Sieve No. 200 is equivalent to 0.074 mm (I.e. 74 microns)

Question:

For the correlation graph, what is the type of the SPT hammer being used ? What is the Energy Efficiency for the SPT Hammers?



# SPT Correction Factors for $N_{60}$

$$N \text{ (correction)} = N \text{ (measured)} \times ER / E_{60}$$

$$N_{60} = \frac{ER \ N \ C_B \ C_S \ C_R}{60}$$

(From Skempton, 1986)

Where ER=Efficiency of the free-fall hammer energy (Ranged between 40 and 85 in the equation by ignoring the % in the equation)

## SPT Correction Factor for Field Operation

| Factor   | Equipment Variables                            | Value 值 |
|--|--|---------|
| Borehole diameter factor, $C_B$<br>钻孔直径校正(CB): | 2.5 - 4.5 in (65 - 115 mm)                     | 1.00    |
|  | 6 in (150 mm)                                  | 1.05    |
|  | 8 in (200 mm)                                  | 1.15    |
| Sampling method factor, $C_S$<br>采样器校正(Cs):    | Standard sampler                               | 1.00    |
|  | Sampler without liner<br>(Not recommended 不建议) | 1.20    |
| Rod length factor, $C_R$<br>杆长校正(CR):          | 10 - 13 ft (3 - 4 m)                           | 0.75    |
|  | 13 - 20 ft (4 - 6 m)                           | 0.85    |
|  | 20 - 30 ft (6 - 10 m)                          | 0.95    |
|  | > 30 ft (> 10 m)                               | 1.00    |

Adapted from Skempton (1986).



# Summary for Energy Efficiency for Trip Hammer in Hong Kong

GEO Technical Note: TN 2/97, 1997

Summary for SPT Hammers in Different Regions

| Hammer Fixity  | ER <sub>r</sub> | Energy Loss As Compared to Case 1 |
|--|-----------------|-----------------------------------|
| Case 1   | 43 %            | N/A                               |
| Case 2   | 36 %            | 16 %                              |
| Case 3   | 29 %            | 33 %                              |
| Notes : (1) ER <sub>r</sub> is the calculated rod energy ratio.<br>(2) Case 1 - Hammer tightly-fitted at top and base.<br>(3) Case 2 - Hammer tightly-fitted at top, loosely-fitted at base.<br>(4) Case 3 - Hammer loosely-fitted at top, tightly-fitted at base. |                 |                                   |

|   | North America     | South America | Middle East    | United Kingdom | Japan     | Hong Kong      |
|---|-------------------|---------------|----------------|----------------|-----------|----------------|
| <b>Borehole Diameter (mm)</b>           |                   | South America | 100 to 150     | 152 to 375     | 65 to 110 | 89 to 140      |
| <b>Hammer Mechanism</b>                 | Slip-rope, safety | Slip-rope     | Automatic trip | Automatic trip | Slip-rope | Automatic trip |
| <b>Average Rod Energy Efficiency(%)</b> | 45 to 60          | 45 to 50      |                | 73             | 65        | ?              |

- 1) GEO Technical Note: TN 2/97, 1997 with ER ranged from 16% to 33%. The results was reviewed for some errors for further study.
- 2) Doctorate Thesis by YANG Wenwei in 2006 , HKU (106 measurements with ER ranged from 33% to 80%, mean » 60%)
- 3) Philip Chung 2018, Hong Kong Geotechnical Conference 2018 by Geotechnical Division (The mean of the ER measured is 68%).
- 4) CEDD Contract No: GE/2019/16 – Ground Investigation for New Territories East, ER testing in progress.

# CPT and SPT Correlation

Corrections mostly from Robertsen et al, 1983 or Kulhavy and Mayne, 1990

- If grain size distribution data are available, use the Figure  $(q_c/p_a)/N_{60}$  Vs  $D_{50}$  from Robertson et al., 1983 or Figure  $(q_c/p_a)/N$  Vs Fines Content from Kulhavy et, al, 1990
- If grain size distribution data are not available, use soil behavior index, use the following equation from Jeffries and Davies 1993:

$$(q_c/P_a)/N_{60} = 8.5 (1 - I_c / 4.6) \quad \text{where } I_c = ((3.47 - \log Q_t)^2 + (\log F_r + 1.22)^2)^{0.5}$$

$Q_t = Q_t$  = normalized cone penetration resistance (dimensionless)  
 $= (q_t - \sigma_{vo})/\sigma'_{vo}$

$F_r$  = normalized friction ratio in %  
 $= (f_s/(q_t - \sigma_{vo})) \times 100\%$

$P_a$  = atm. Press. = 100 kPa

$N_{60}$  = SPT value corresponding to energy ratio of 60%

Note: As  $N_{60}$  for the above equation (obtained by correlations of different parameters) is based on the correctness of the ER ( Energy Efficiency) for different types of SPT hammers, it should be reviewed or amended particularly for the auto-trip hammer adopted in Hong Kong.

# Correlation of SPT and CPT Values in Hong Kong

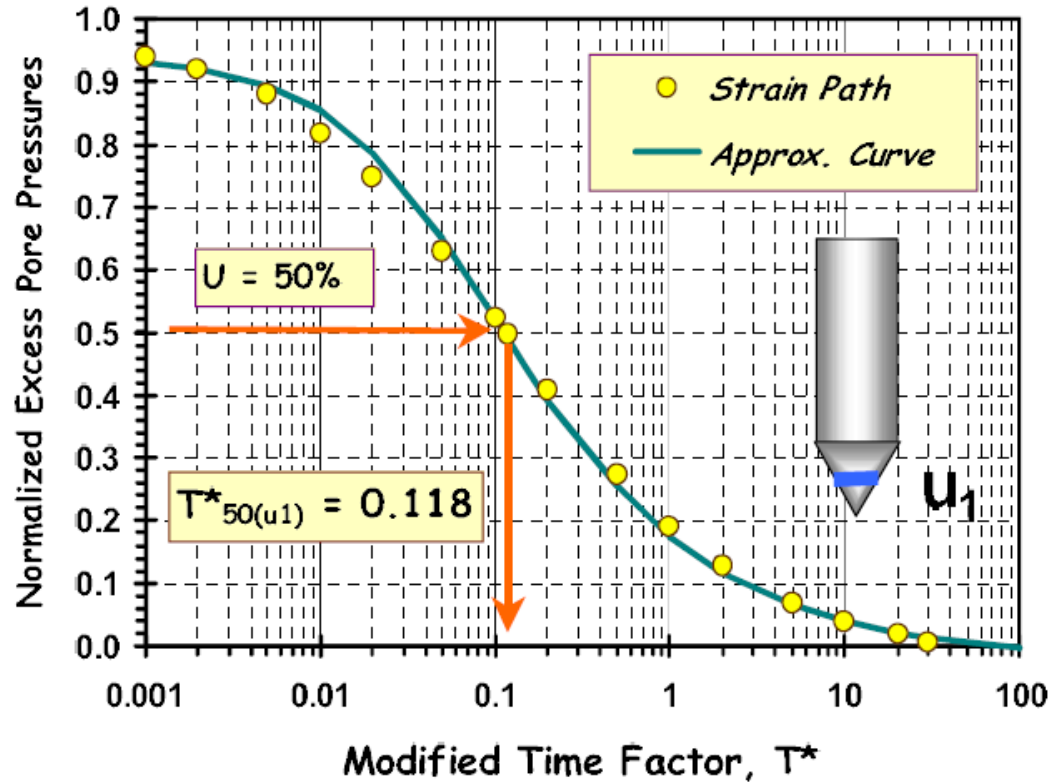
- SPT is most commonly used in Hong Kong.
- It has never been calibrated with SPT for  $N_{60}$  since 1997 in Hong Kong.
- The previous works by GEO for ER found that the auto-trip hammer for SPT was max 43%, and recently calibrated SPT value was around 68%. It was concluded that parts of the energy dissipated due to some unknown factors.
- The ER is believed that the ER should be higher, and GEO still performs further study with review for this.
- The further studies are aimed at improving the equations for correlation of SPT  $N_{60}$ ,  $N_{60(1)}$ .
- The  $N_{60}$  correlated from CPT equation should only be used as the approximate values in absence of more reliable data, and the values should be compared and corrected with some local data.

# Dissipation Test and Consolidation Characteristics

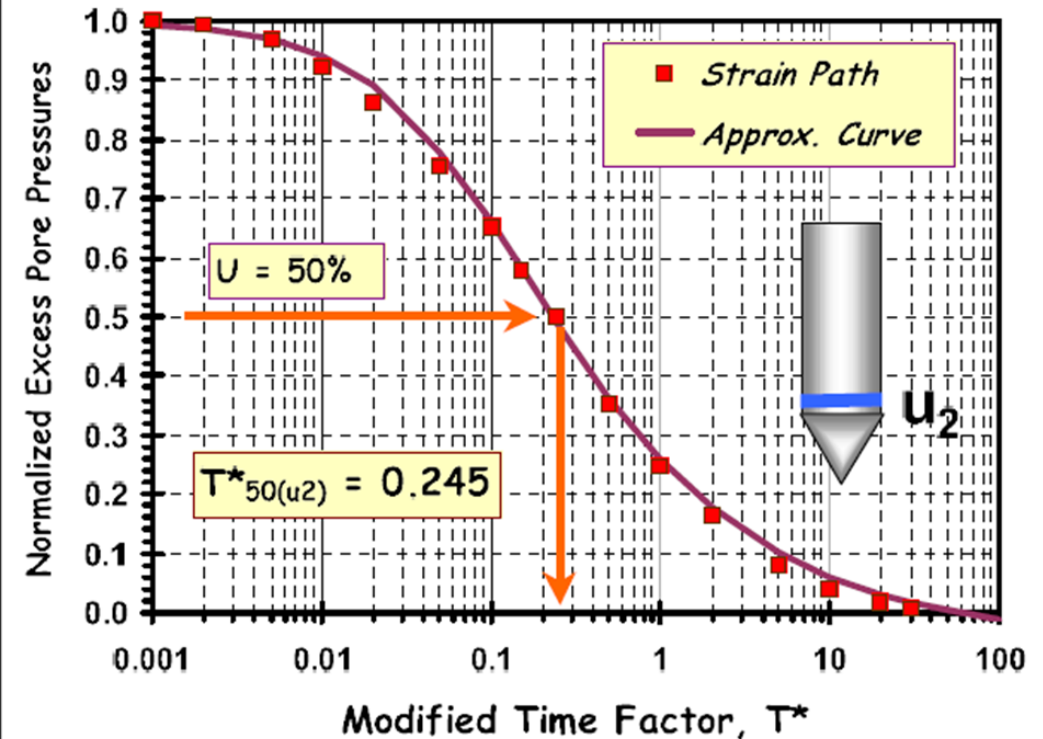


# Monotonic Dissipation Curve

Strain Path Solution for Type 1 CPTu Dissipation  
(after Teh and Houlsby, 1991)



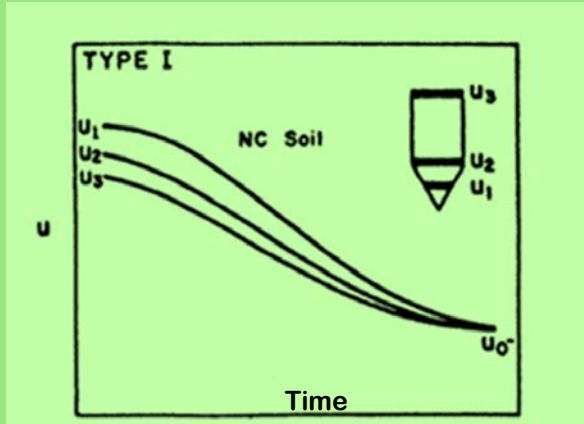
Strain Path Solution for Type 2 CPTu Dissipation  
(after Teh and Houlsby, 1991)



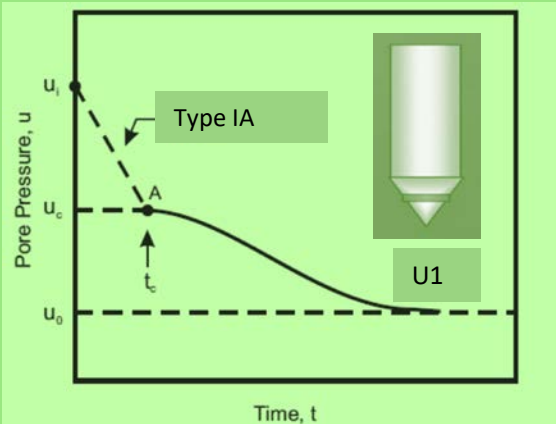
Horizontal Coefficient of Consolidation  $Ch = T^*_{50} * r^2 * (I_R)^{0.5} / t_{50}$

Diameter of CPT Cone =  $R = 1.785$  cm for  $10\text{cm}^2$  cone, and  $R = 2.2$  cm for  $15\text{cm}^2$  cone

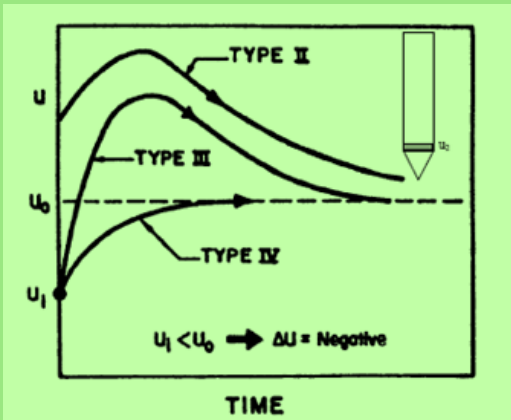
Rigidity Index =  $I_r = \text{Shear Modulus} / \text{Undrained Shear Strength} = G / S_u$



Normally to Lightly Overconsolidated Soil for U1 and U3 filters, and Heavily Consolidated soil for U1 filter



Unloading type of dissipation for U1 filter in overconsolidated soil



Pore Pressure Dissipation for Shoulder Piezocone Elements in Heavily Overconsolidated Clays (Sully and Campanella, 1994)

| Cone Filter Type | Dissipation Response Type           | Types of Dissipation Behavior   | Soil Type   |
|------------------|-------------------------------------|---|---|
| U1, U2 and U3    | I                                   | Monotonic   | Normally to lightly consolidated soil                           |
| U1               | I                                   | Monotonic   | Lightly to heavily consolidated soil                            |
| U1               | IA                                  | Monotonic   | Normally to heavily consolidated soil                           |
| U2               | II                                  | Dilative  | Moderately to heavily consolidated, fissured soil or dense sand |
|                  | $U > U_0$                           |   |   |
| U2               | III                                 | Dilative  | Moderately to heavily consolidated, fissured soil or dense sand |
|                  | $U < U_0$ or $\Delta u$ is negative |   |   |
| U2               | IV                                  | Dilative (Treated as inverse of monotonic Type I for $C_h$ calculation) | Moderately to heavily consolidated, fissured soil or dense sand |
|                  | $U < U_0$ and no peak               |   |   |

## Calculation of Coefficient of Horizontal Consolidation ( $C_h$ ) from Dilatory (Non-standard) Type II and III Dissipation Curves

| Authors                | Theories and Methods Adopted   | Interpretation Methods   |
|------------------------|--|--|
| Burns and Mayne (1998) | The method combines Cavity Expansion and Critical State Soil Mechanics theories  | The solution process requires a computer program and iteration to obtain a good fit of the measured dissipation curve. During the fitting process both the horizontal coefficient of consolidation ( $C_h$ ) and the rigidity index ( $I_r$ ) are varied, which may be problematic and lacking a physical basis.   |
| Sully et al. (1999)    | Corrected curves with existing methods of interpretation (Teh and Houlsby 1991) based on the combination of strain path method with the large strain finite element analysis to evaluate $C_h$ | Method 1: Logarithm of time plot is adopted. Shift the origin of time to that point where the measured pore pressure is a maximum. Piezocone dissipation tests was developed by Teh and Houlsby  |
|                        |  | Method 2: Fit a square root of time plot to the post-maximum pore pressure dissipation curve in order to back-extrapolate the value of the initial pore pressure.  |
| J.C. Chai et al (2004) | Based on the results of numerical analysis, an empirical equation is proposed  | Use $t_{50m}$ is calculated from the corrected $t_{50}$ (The time corresponding to 50% dissipation of the measured maximum excess pore pressure) and the $t_{umax}$ (Time for the measured excess pore pressure to reach its maximum value). The $t_{50m}$ is time is then used in the standard interpretation (Teh and Housby 1991) of the value of $C_h$ . |

# Typical Calculation for $C_h$ for Monotonic (Standard) Dissipation

$U_0=128.76\text{KPa}$

$U_i=579.6\text{KPa}$

Dissipated pressure at 50%

$= (128.76+579.6)/$

$T_{50}^* = 0.245$  for  $U_2$  filter cone 2=354.18KPa

$r=1.785\text{cm}$  for 10cm2 cone

$(t_{50})^{0.5}=0.584$

$t_{50}=0.34\text{min}$

$I_R=150$  (Assumed)

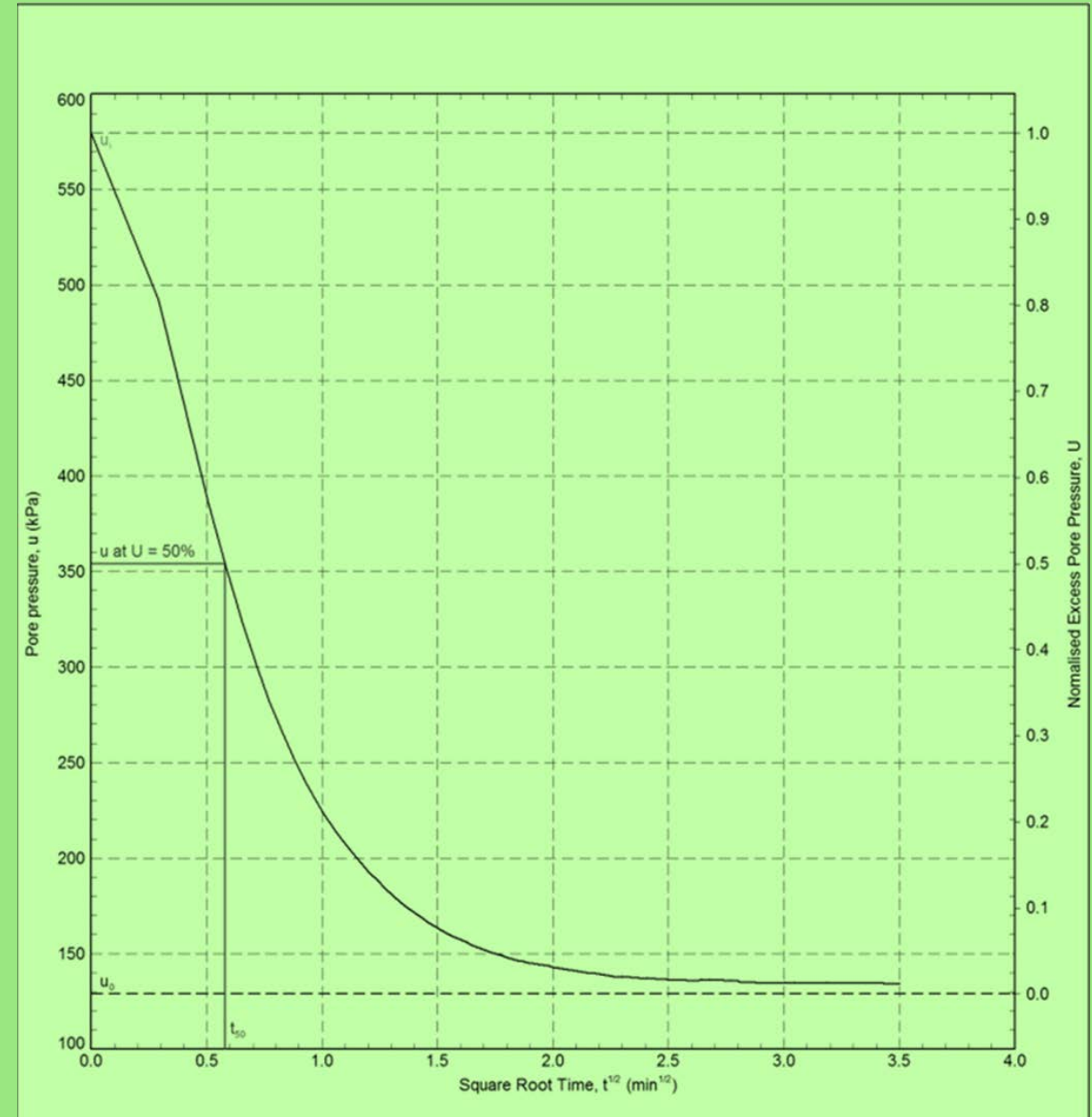
$$C_h = \frac{(T_{50}^*) r^2 \sqrt{I_R}}{t_{50}}$$

$C_h=0.245 \times 1.785^2 \times (150)^{0.5} / 0.34$

$= 28.12 \text{ cm}^2/\text{min}$

$= 1.47 \times 10^3 \text{ m}^2/\text{yr}$

**Note: Use the smaller cone, 10cm2 cone, will give you shorter time of  $t_{50}$  as compared with the 15cm2 cone.**

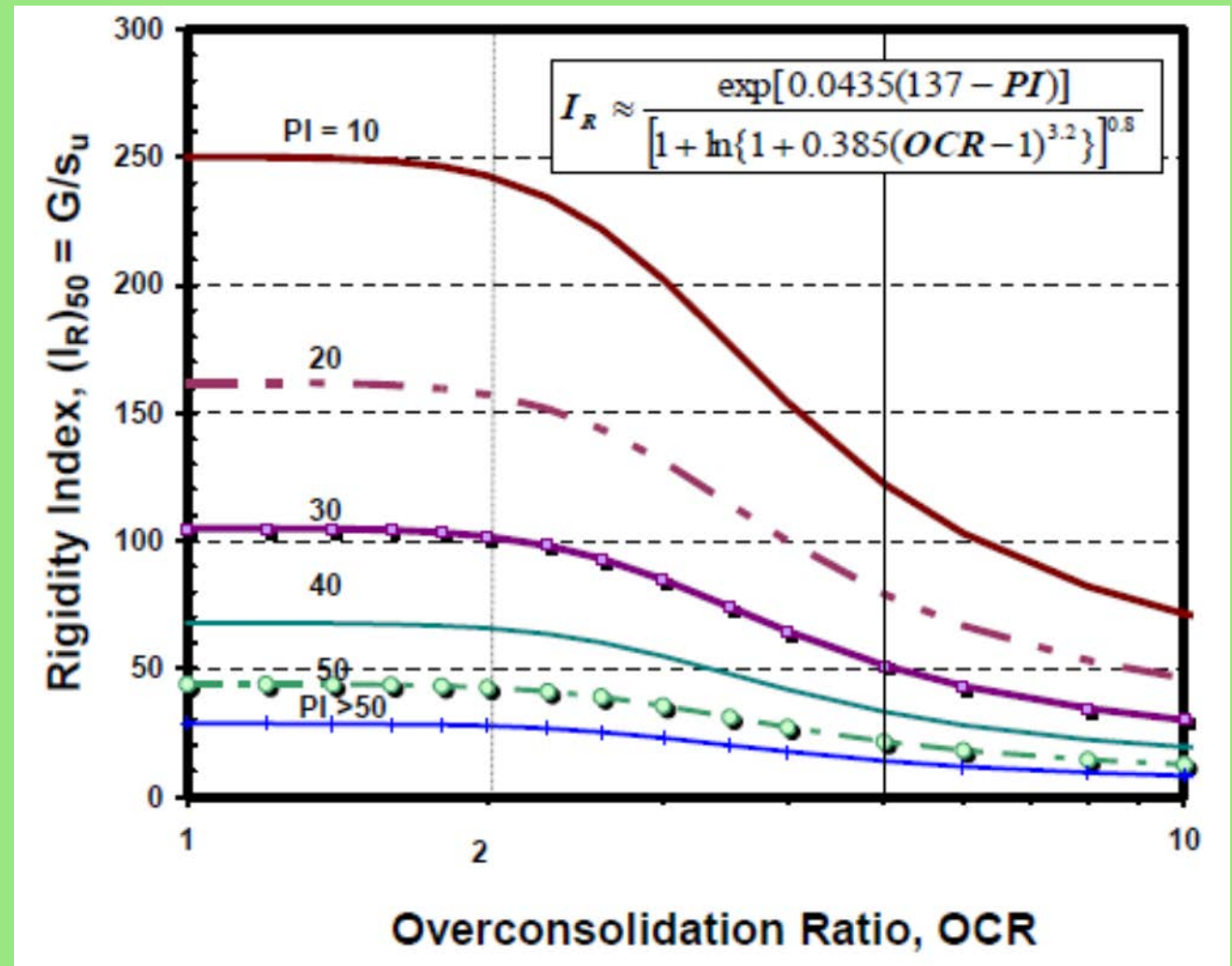




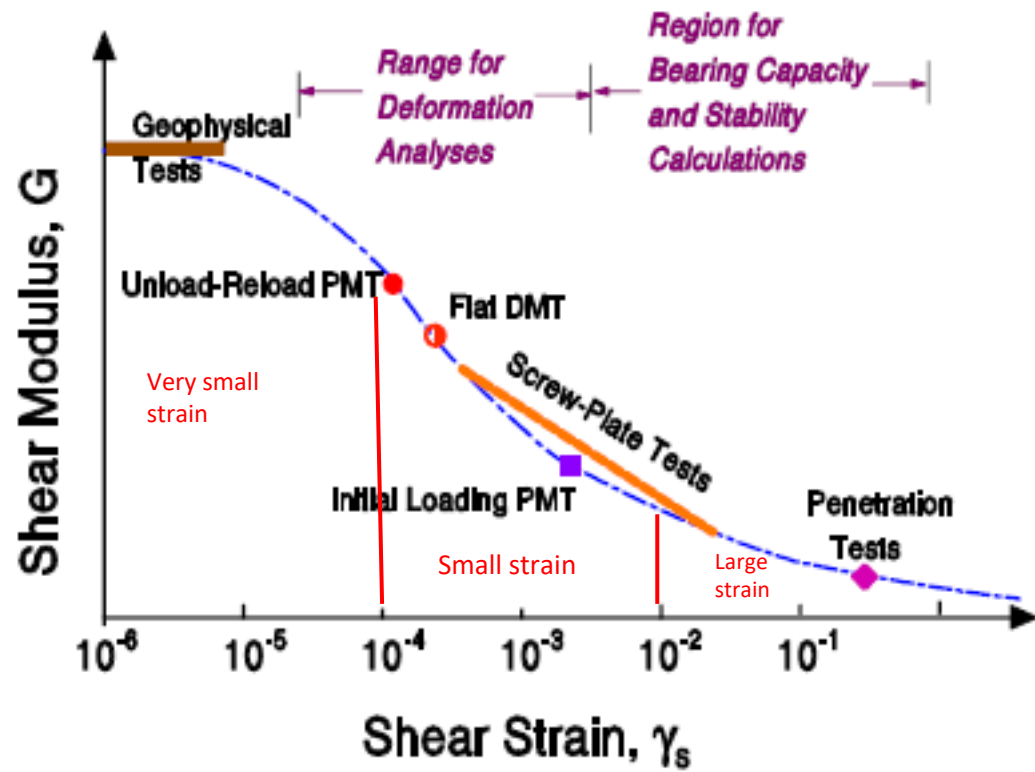
## Selection of An Appropriate Shear Modulus is a primary challenge

Shear Modulus ( $G$ ) is function of strain level, aging effects, various other factors (Wroth et al. 1979, and Schnaid et al. 1997).

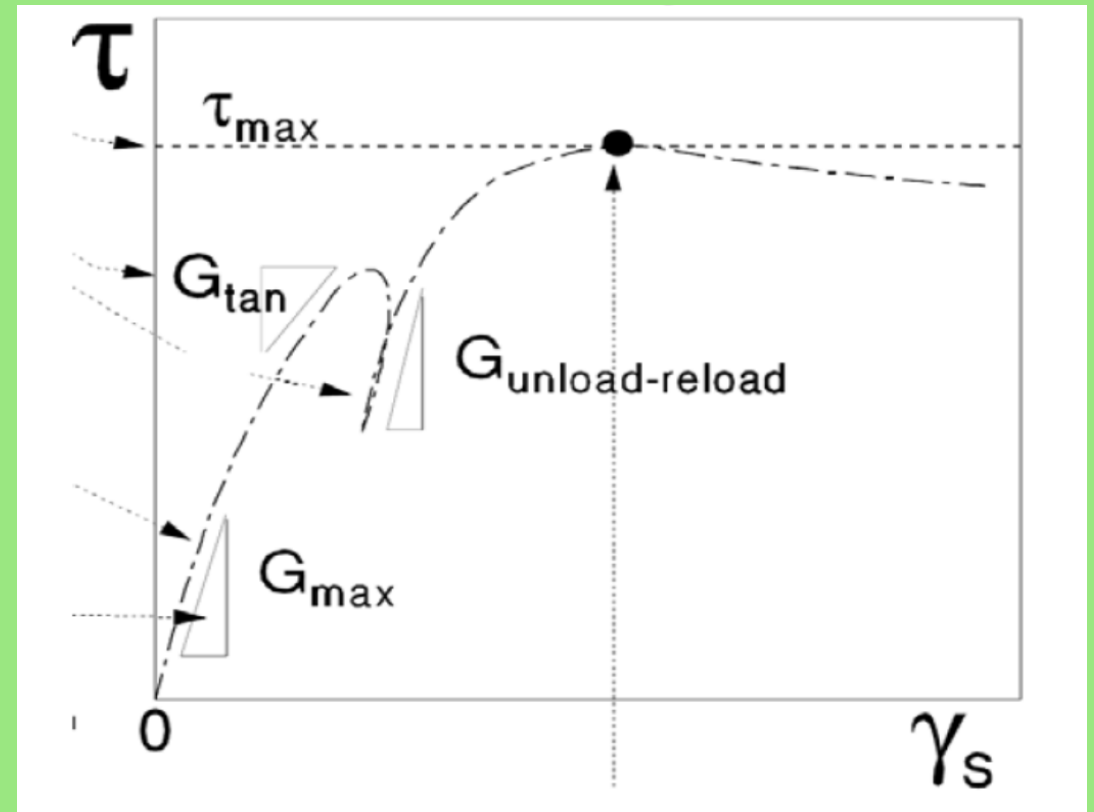
Researchers suggest that use of  $G_{50}$  ( i.e. 50% of the mobilised strength that represents the average response of the engaged soil volume (Konrad & Law 1987, Schnaid et al. 1997). The  $G_{50}$  is appropriate for  $I_R$  since it most likely represents an average response of the soil around an advancing cone.



Evaluation of Rigidity Index from Plasticity Index and OCR (after Keaveny & Mitchell, 1986).



Reduction of Shear Modulus Vs Shear Strain



Shear Modulus Based On Stress Strain Response

The initial shear modulus,  $G_{max}$  ( $G_o$ ), typically represents the tangent modulus at low strains ( $< 0.01\%$ ), while a secant modulus is used for larger strain levels and  $G$  decreases with increasing strain level (Houlsby & Wroth 1991, Mayne 2007).

## Selection of Ir for Medium to Very Highly Plastic Soil

The range of Ir for the very highly plastic soils are between 20 to 40, and 500 for non plastic soil. The max ratio of  $Ir^{0.5}$  is around 5 times It is less the half of one order in magnitude for calculating the  $C_h$ .

It is often considered as acceptable that accuracy in the estimate of the coefficient of consolidation varies within one order of magnitude (Robertson 2015).

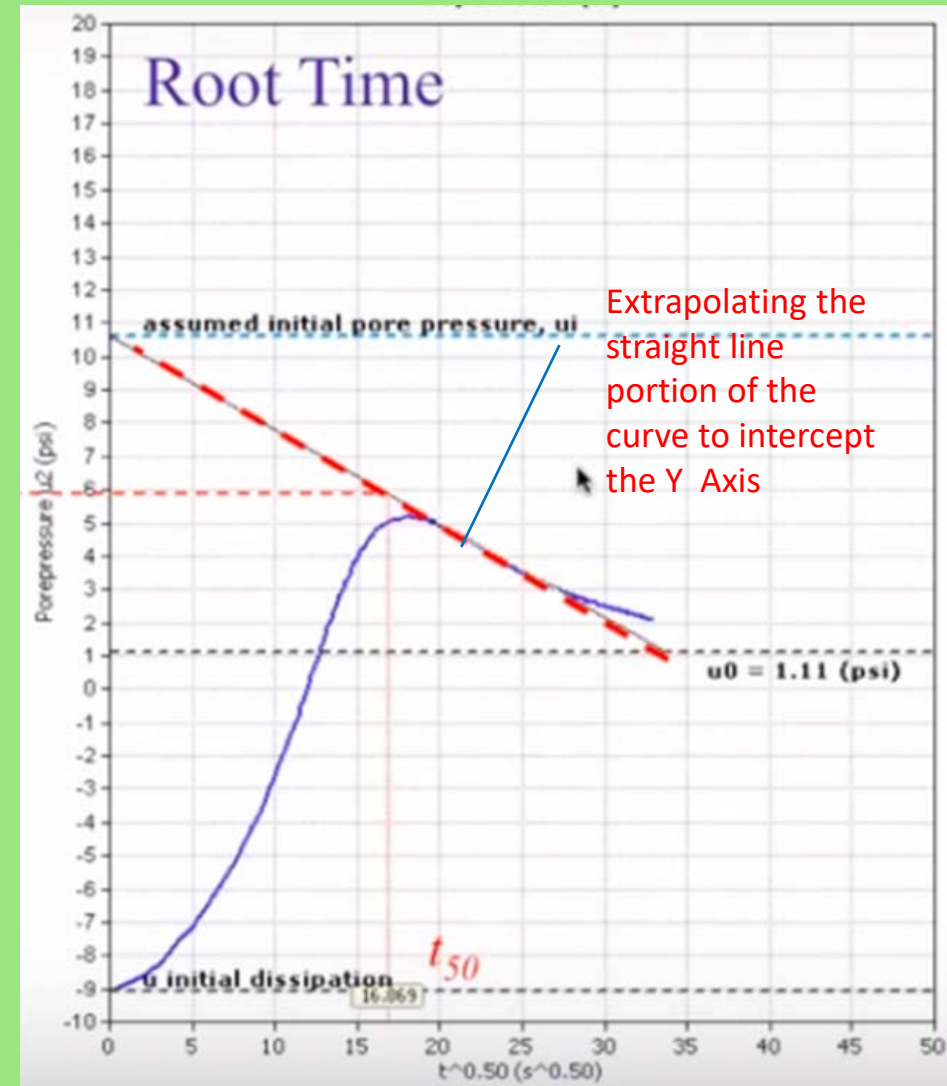
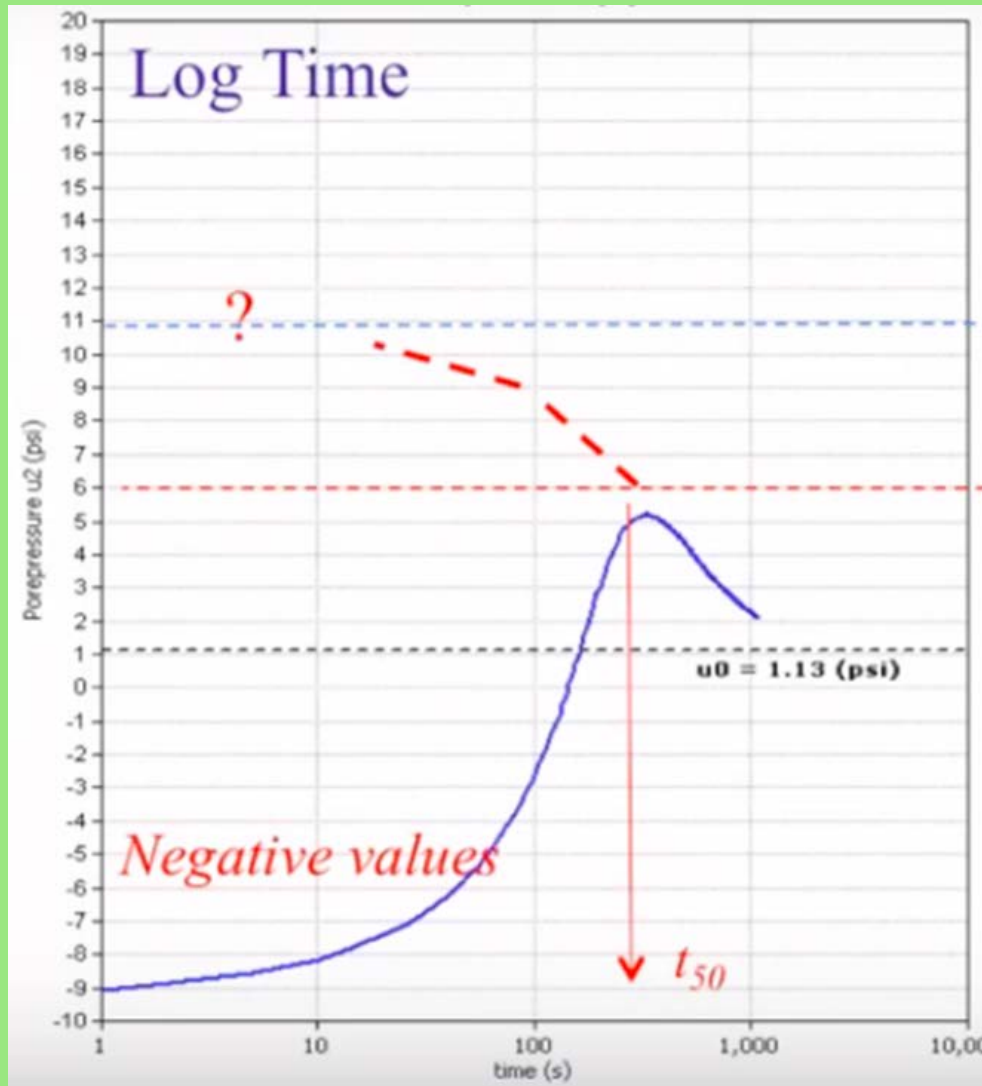
| Soil Type          | Plasticity Index  | PI  | OCR | Ir  | $(Ir)^{0.5}$ | Max Fold    |
|--------------------|---|-----|-----|-----|--------------|-------------|
| Sand to Silty Sand | Non Plastic to Low  | <10 |     | 500 | <b>22.36</b> | <b>5</b>    |
| Silty Sand to Silt | Medium Plastic  | 10* | 1   | 250 | <b>15.81</b> | <b>3.54</b> |
| Silty Clay to Clay | Highly Plastic  | 30  | 1   | 110 | 10.49        |             |
| Clay               | Very Highly Plastic   | 50  | 1   | 40  | 6.32         |             |
| Sand to Silty Sand | Non Plastic to Low  | <10 |     |     |              |             |
| Silty Sand to Silt | Medium Plastic  | 10  | 10  | 8   | 8.94         |             |
| Silty Clay to Clay | Highly Plastic  | 30  | 10  | 30  | 5.48         |             |
| Clay               | Very Highly Plastic   | 50  | 10  | 20  | <b>4.47</b>  |             |
| <b>Remark</b>      | <b>* It is generally taken for 7 as Low Plastic but it is suggested to be 10 by Robertson</b> |     |     |     |              |             |

| Plasticity index (%) | Soil type | Degree of plasticity | Degree of cohesiveness |
|----------------------|-----------|----------------------|------------------------|
| 0                    | Sand      | Non-plastic          | Non-cohesive           |
| <7 (10 by Robertson) | Silt      | Low plastic          | Partly cohesive        |
| 7-17                 | Silt clay | Medium plastic       | Cohesive               |
| >17                  | Clay      | High plastic         | cohesive               |

# Dilatory Dissipation Curve

Two Plots for  
Typical Dilatory  
Dissipation  
used U<sub>2</sub> Cone  
Filter Element.

The Square  
Root Time Plot  
is more  
applicable than  
the Log Time  
Plot.





# Typical Calculation for $C_h$ for Dilatory Dissipation

$$U_0 = 73.48 \text{ kPa}$$

$$U_i = 240 \text{ kPa}$$

$$U_c = 277 \text{ kPa}$$

Dissipated pressure at 50%

$$= (277 + 73.48) / 2 = 175.24 \text{ kPa}$$

For 10 cm<sup>2</sup> cone with filter at shoulder

$$T_{50}^* = 0.245$$

$$r = 1.785 \text{ cm}$$

$$t_{50} = 43.27 \text{ min}$$

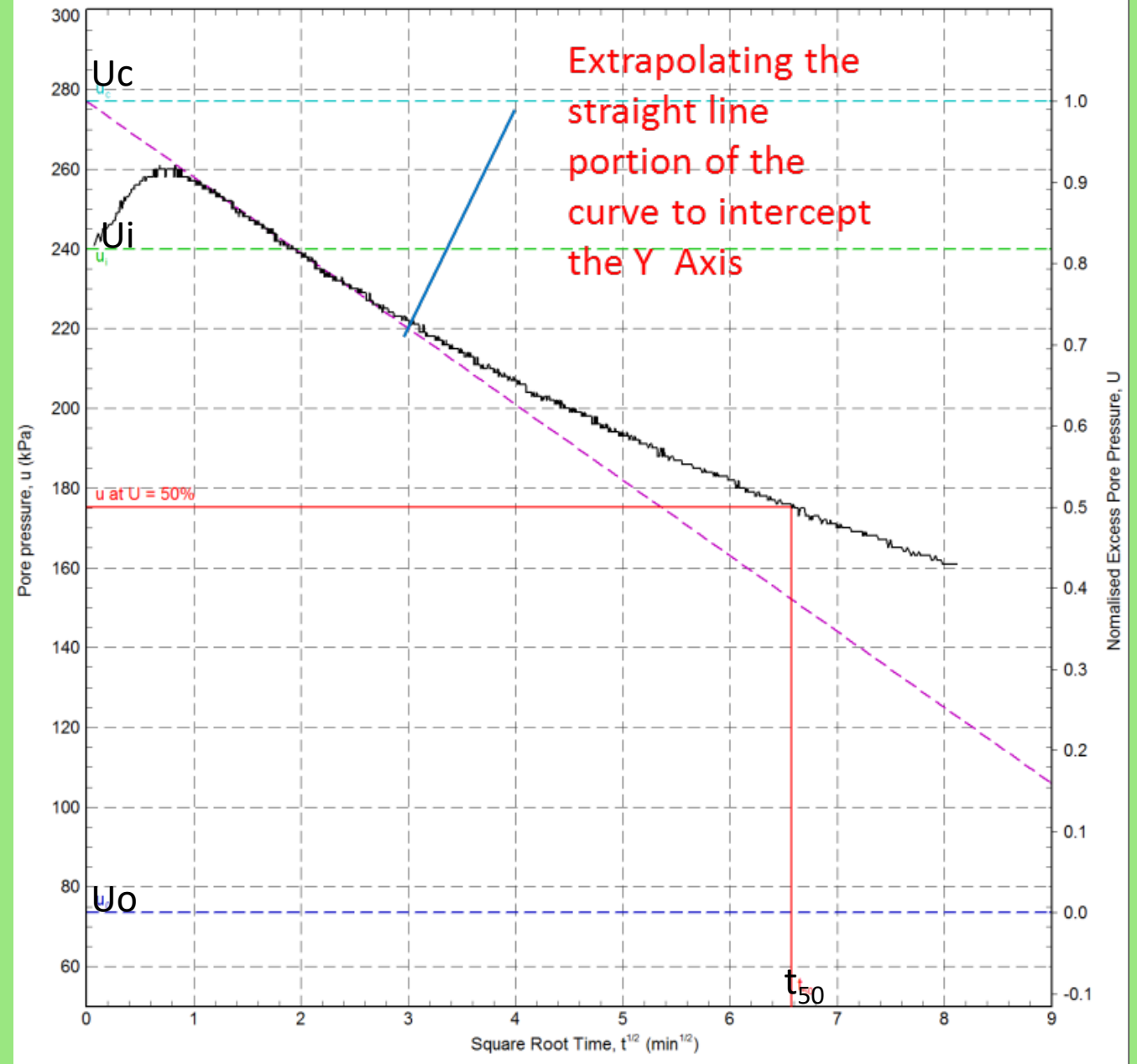
$$I_R = 120 \text{ (Assumed)}$$

$$C_h = \frac{(T_{50}^*) r^2 \sqrt{I_R}}{t_{50}}$$

$$C_h = 0.245 \times 1.785^2 \times (120)^{0.5} / 43.27$$

$$= 0.198 \text{ cm}^2/\text{min}$$

$$= 10.3 \text{ m}^2/\text{yr}$$



The equation proposed by Chai et al. (2012a) for evaluating  $t_{50m}$  is as follows:

$$t_{50m} = \frac{t_{50}}{1 + 18.5 \left( \frac{t_{u\max}}{t_{50}} \right)^{0.67} \left( \frac{I_r}{200} \right)^{0.3}}$$

where  $t_{50m}$  is corrected time for 50% excess pore pressure dissipation, and  $t_{50}$  is time difference between the maximum and 50% of the maximum excess pore pressure. The  $t_{u\max}$  is time for the measured excess pore pressure to reach its maximum value.

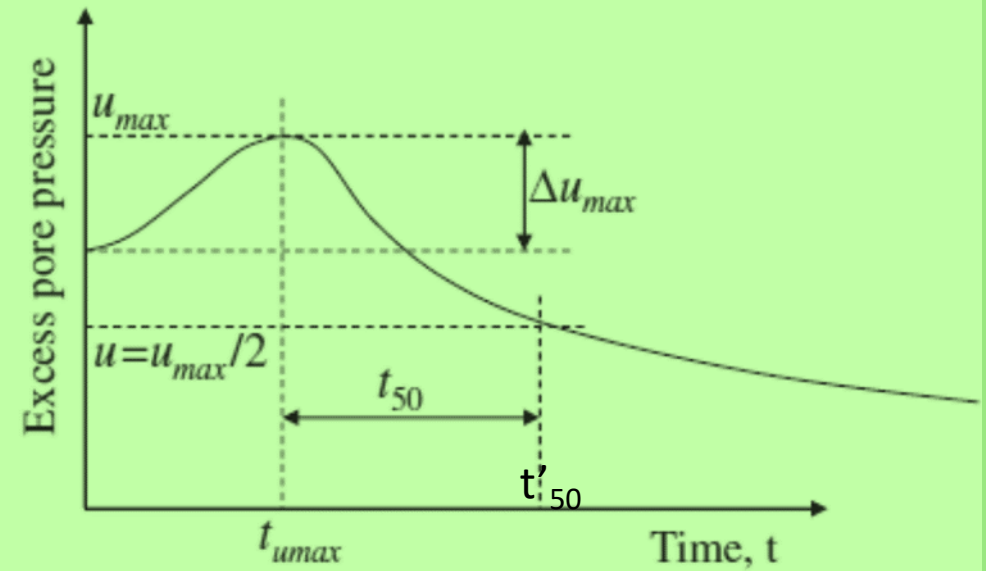
The corrected time is defined as  $t_{50m}$ , and then this value of  $t_{50m}$  is used in the equation proposed by Teh and Houlsby (1991) for standard dissipation curves to directly calculate the value of  $C_h$ . Then the  $C_h$  value can be calculated with the following equation

$$C_h = \frac{T^* r^2 (I_r)^{0.5}}{t_{50m}}$$

For the  $u_2$  filter, the equation becomes

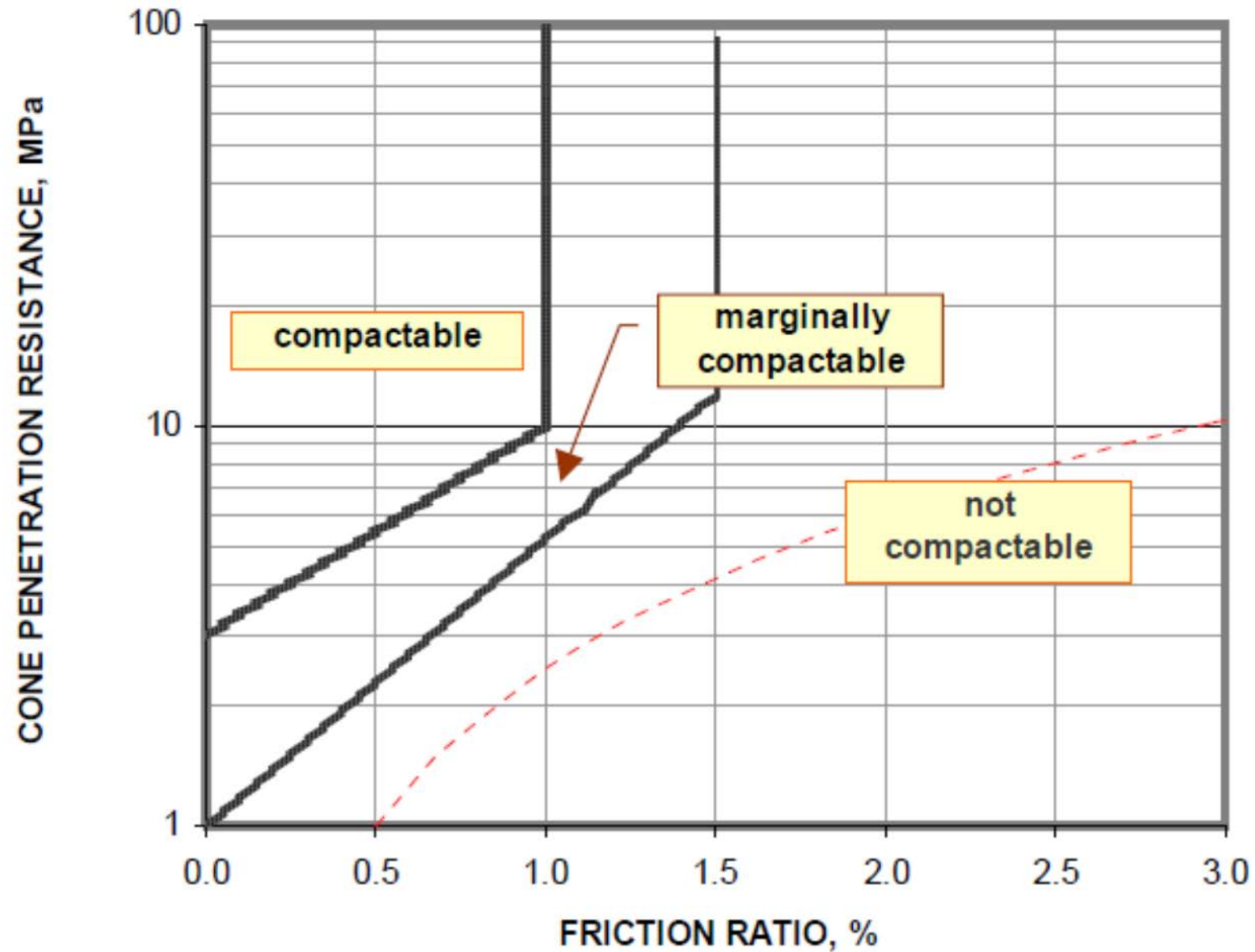
$$C_h = \frac{0.245 r^2 (I_r)^{0.5}}{t_{50m}}$$

## Proposed $C_h$ Calculation for Dilatory (Non-standard) Dissipation by Chai et al. 2012



# Application of CPT on Deep Compaction

## Soil Classification for Deep Compaction Based on CPT Data ( After Massarsch 1991)



# Friction Ratio Vs Fine Content from Suzuki et al. 1995

## Limits of Application for Deep Vibro compaction Technique

**From Keller,**

Fine Content:  $FC < 15\%$

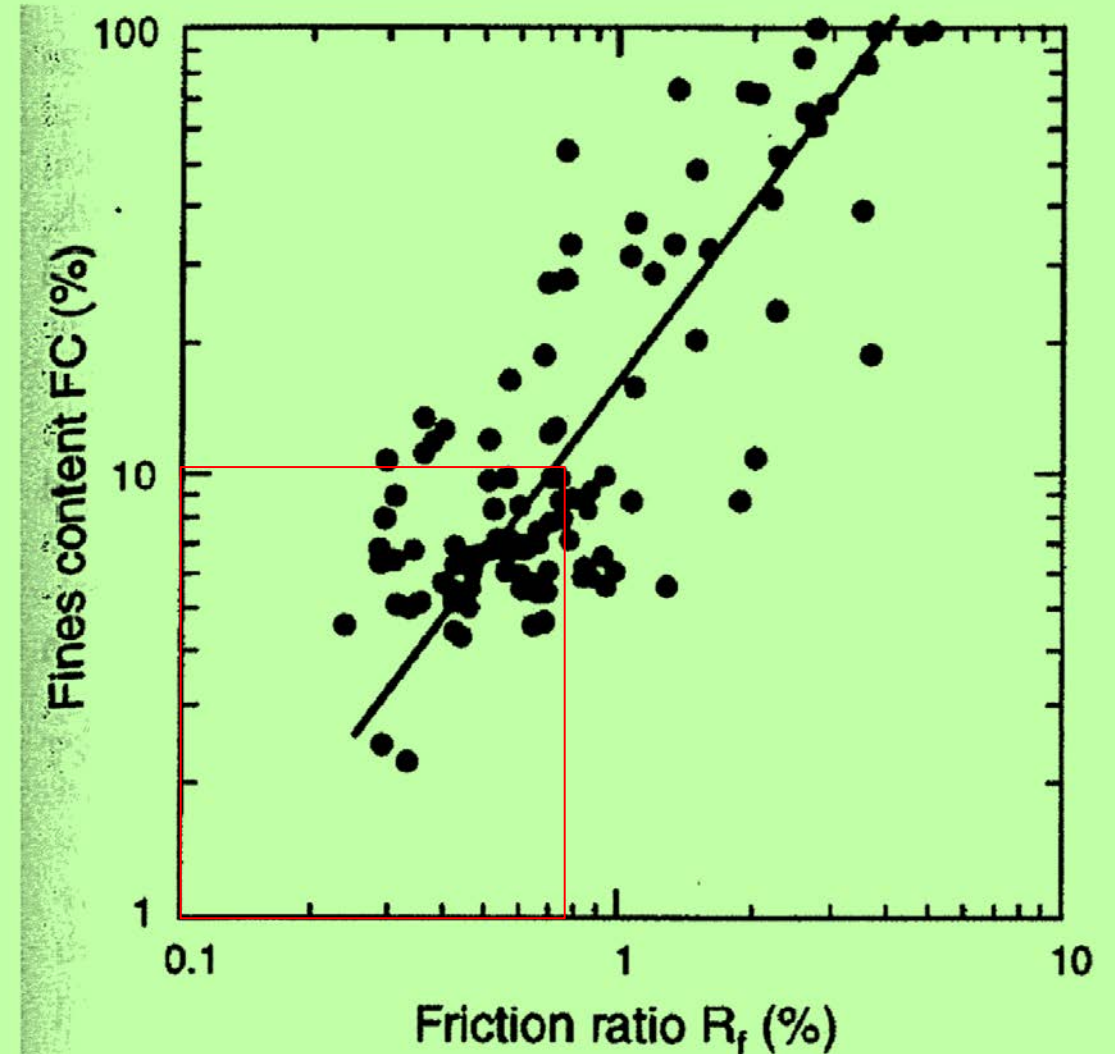
Friction Ratio:  $R_f < 1\%$

**From Vibroflotation (Adopted by Bachy Soletanche Group in Hong Kong in 2005)**

Fine Content:  $FC < 10\%$

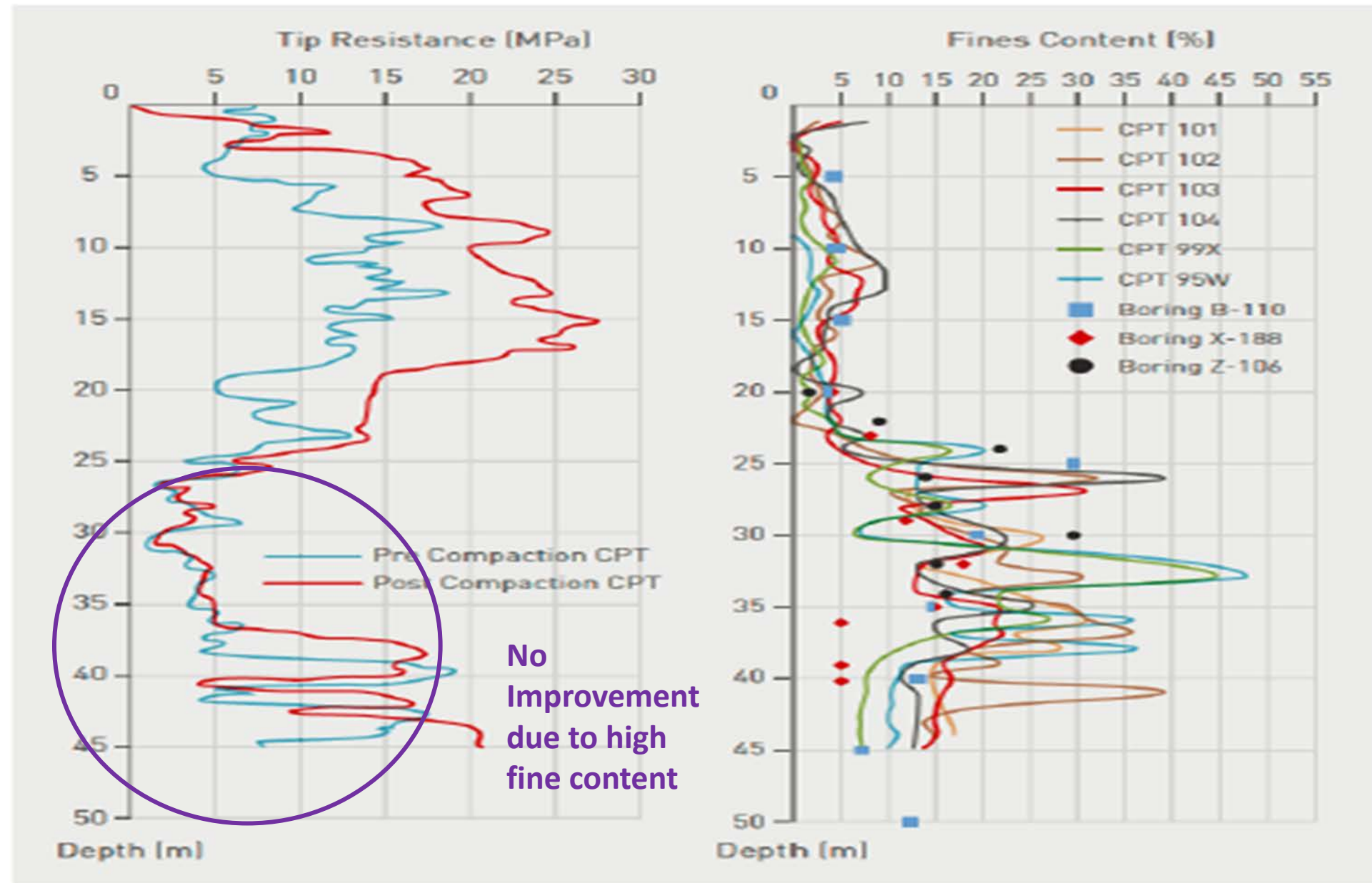
Friction Ratio:  $R_f < 0.8\%$

Variation of fines content with friction ratio  
by Suzuki and al 1995





# Typical Results of Pre and Post CPT of Vibro Compaction with Fines Content Assessment



## Relative Density Use in Deep Compaction as Acceptance Criterion

$$D_r = \frac{e_{\max} - e}{e_{\max} - e_{\min}} \times 100\%$$
$$= \frac{\gamma_{d\max}}{\gamma_d} \times \frac{\gamma_d - \gamma_{d\min}}{\gamma_{d\max} - \gamma_{d\min}} \times 100\% \quad (3.1)$$

where

- $e_{\min}$  = void ratio of soil in densest condition
- $e_{\max}$  = void ratio of soil in loosest condition
- $e$  = in-place void ratio
- $\gamma_{d\max}$  = dry unit weight of soil in densest condition
- $\gamma_{d\min}$  = dry unit weight of soil in loosest condition
- $\gamma_d$  = in-place dry unit weight

| Relative Density (%) | Descriptive Term |
|----------------------|------------------|
| 0–15                 | Very loose       |
| 15–35                | Loose            |
| 35–65                | Medium           |
| 65–85                | Dense            |
| 85–100               | Very dense       |

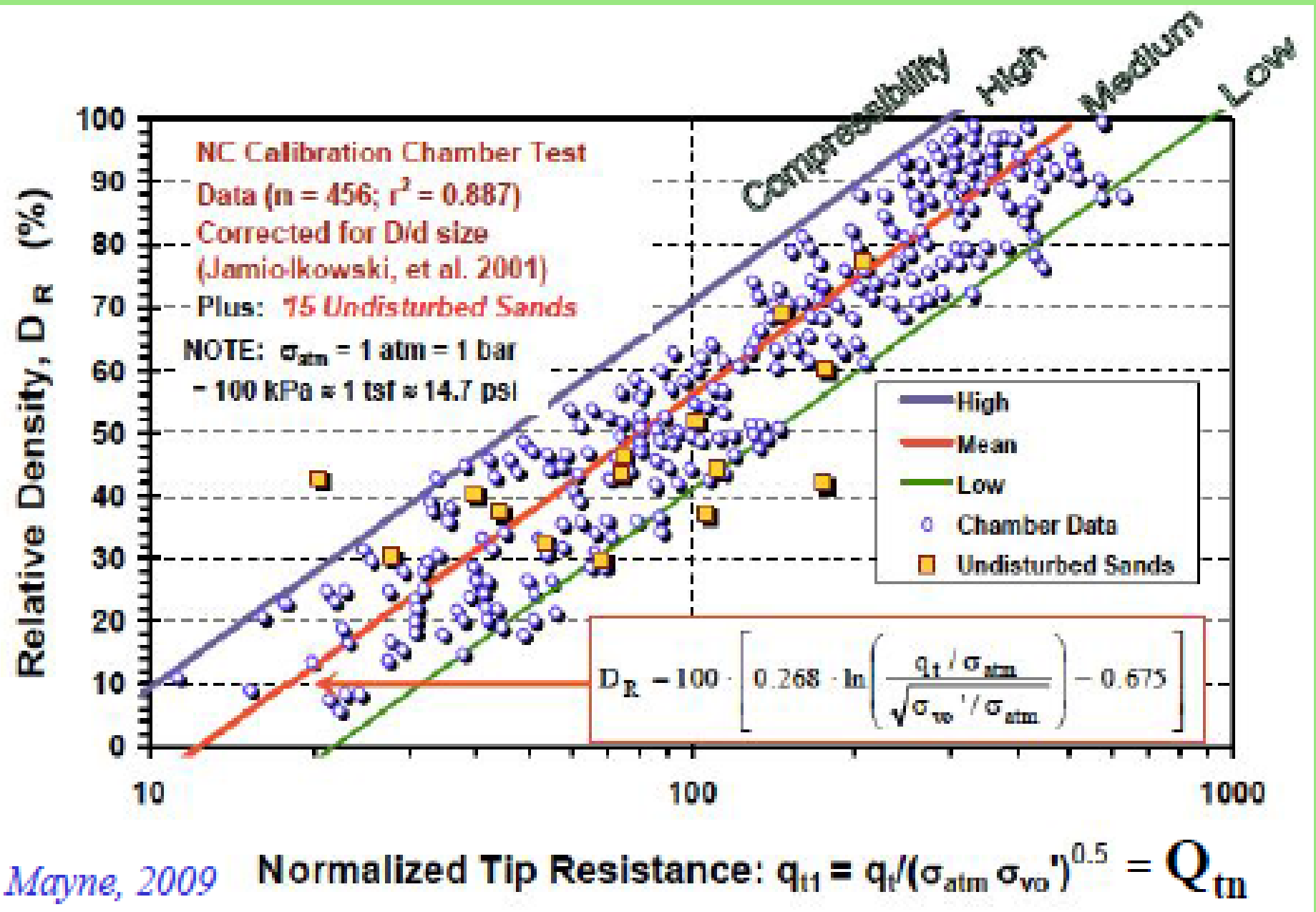
### Note:

- Sand compressibility is controlled by grain size, shape and mineralogy.
- The  $e_{\min}$  and  $e_{\max}$  are difficult to determine.
- Most relationship between  $D_r$  and CPT are based on calibration chamber (CC) test for clean sand.
- Research has shown that the stress strain and strength behaviors are too complicated to be represented only  $D_r$ . However, most of the professionals still use it as it has been adopting for long time, and it is simple to use.
- Angular sand is more compressible than round sand.
- Carbonate or high mica sand is more compressible than quartz sand.

Many of the correlation of the developed by CPT are based on the results of the laboratory conducted in calibration chamber with uniformly graded sands (**Clean sand**).

Since natural sand deposits are uniform, they may contain fines and varying degrees of aging.

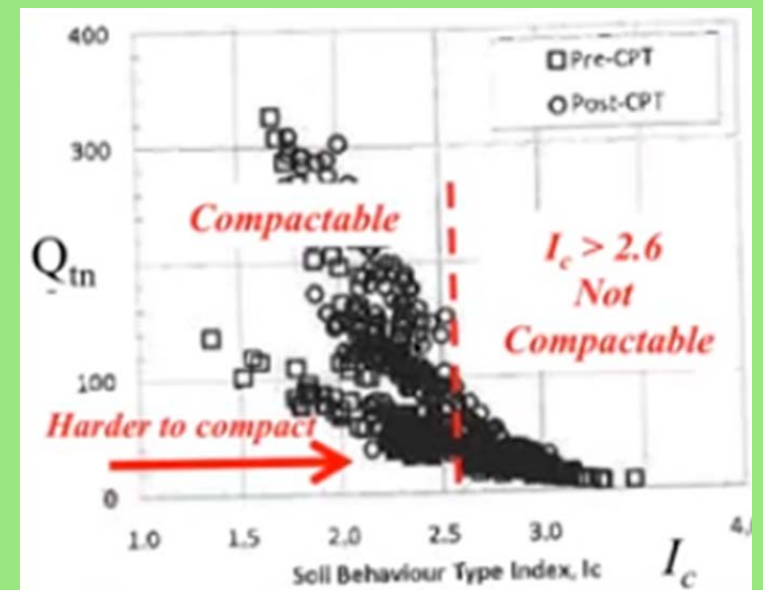
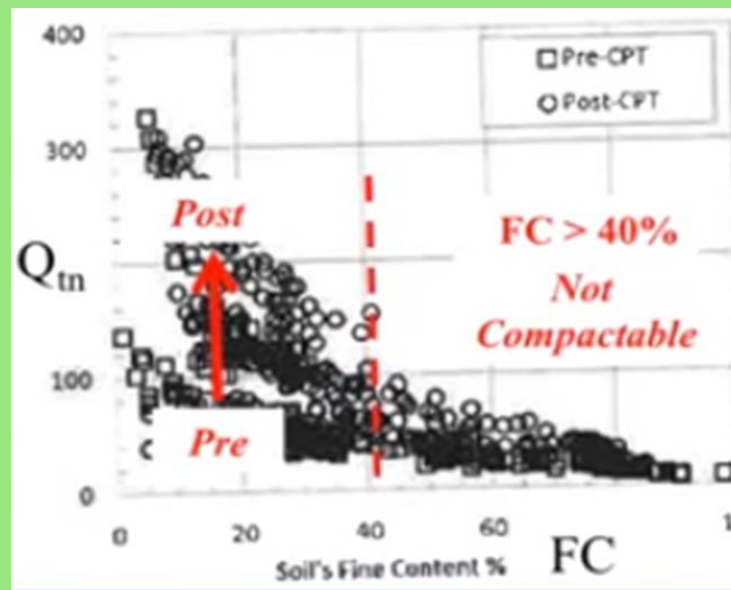
**Therefore, this correlation should be considered to be approximate.**



The Relative Density Equation is derived by Jamiołkowski et al (2001)

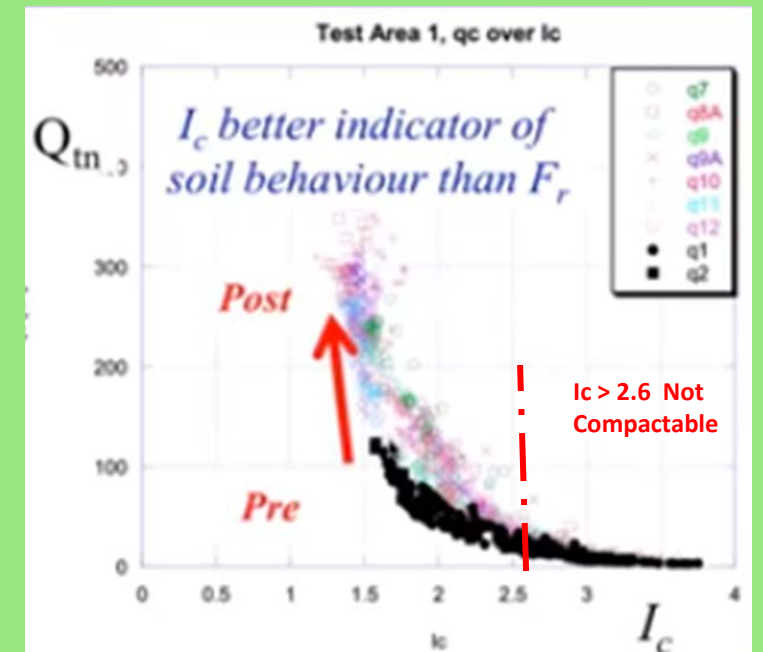
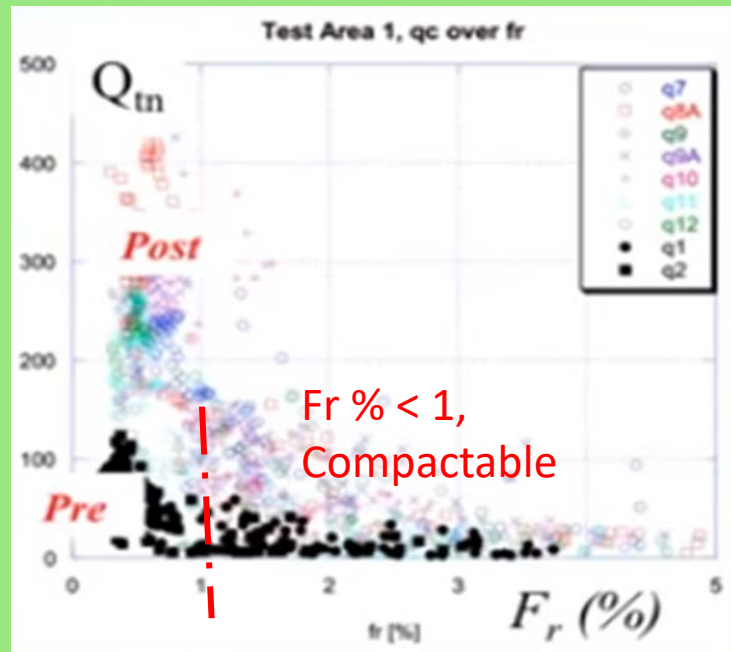
# Compactability Related to $I_c$ , FC and $F_r$

Graphs from Kirsch and Kirsch (2010)



Graphs From Degon 2005

Sandy soils with fine content (> around 40%) and high CPT  $I_c$  ( $I_c > 2.6$ ) are generally not or less compactable.



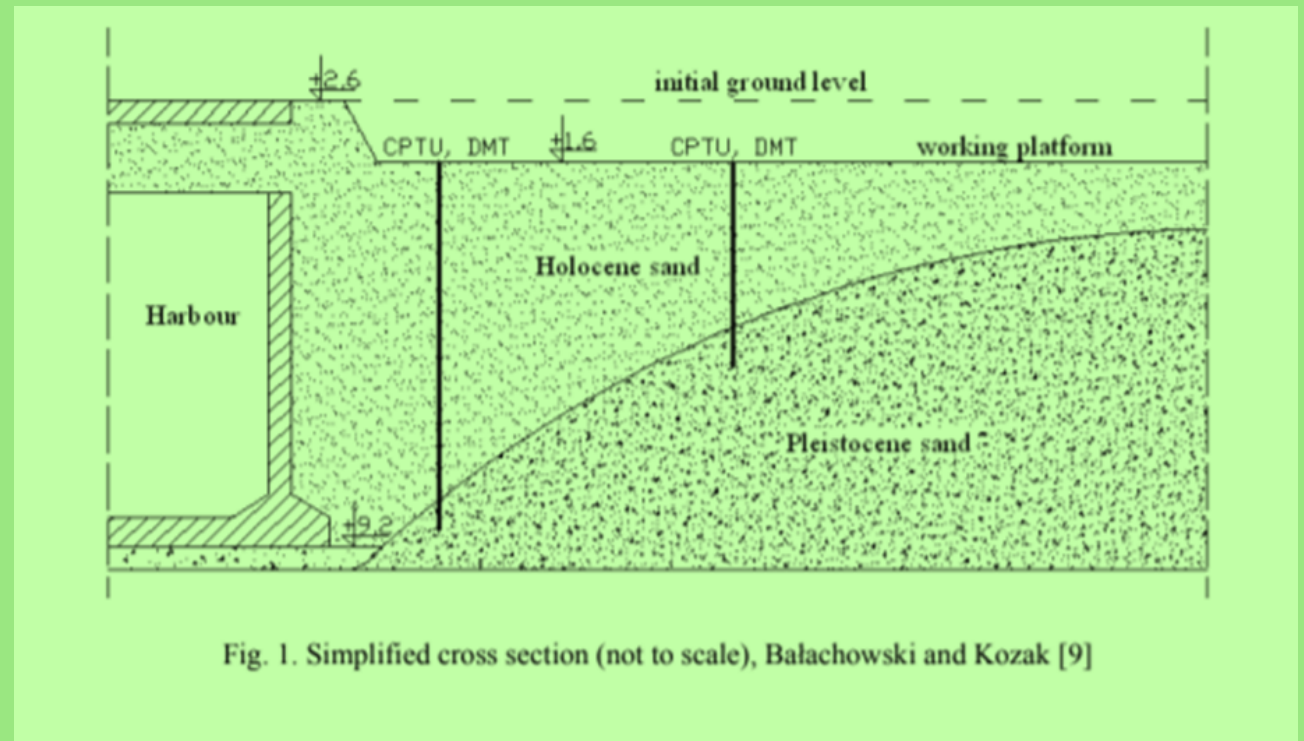
# President Harbour in Gdynia Port

Sand fill and aged Holocene Sand with silt and mud inclusion.

Water table is 1m below the ground level.

Some parts of the superficial layers were hydraulic fill.

A dense Pleistocene sand with mud inclusion





# President Harbour in Gdynia Port

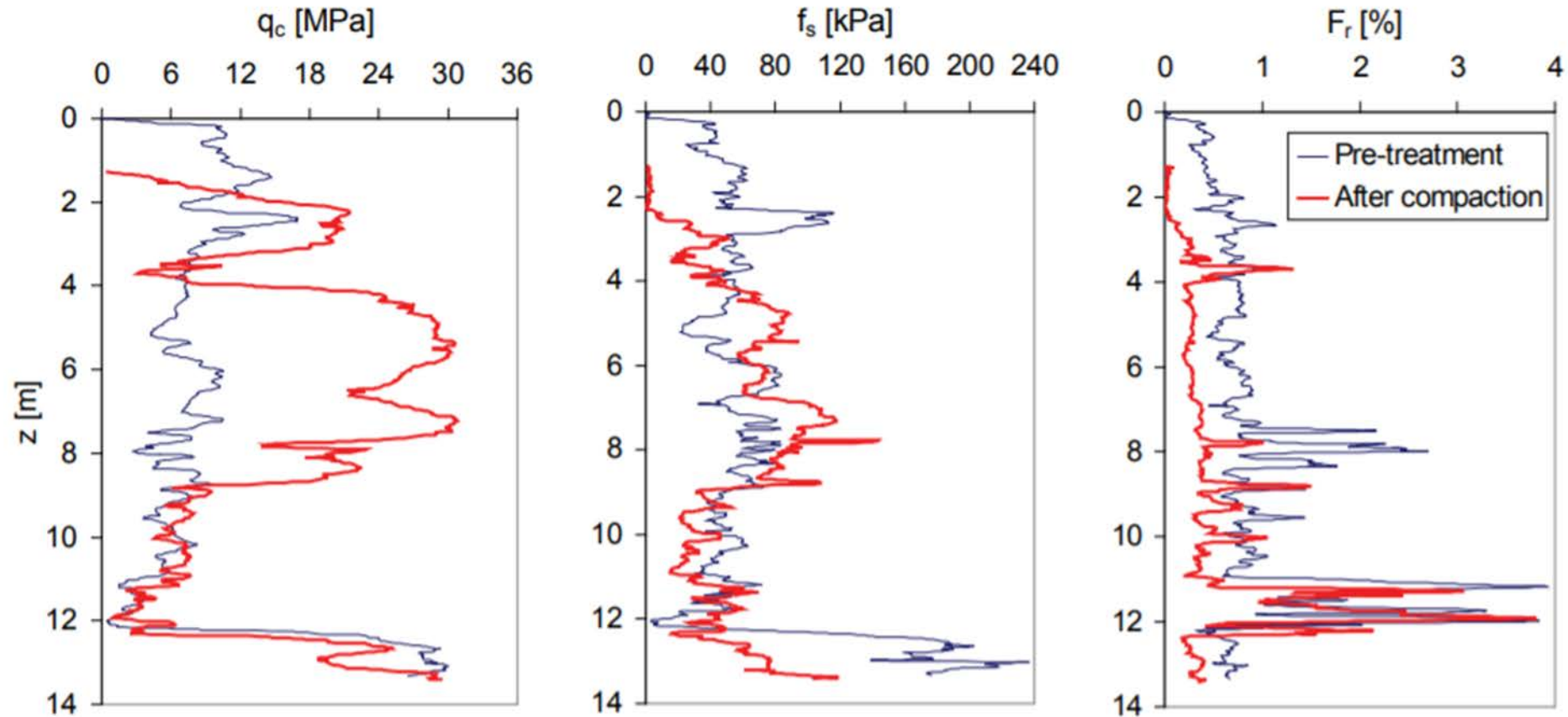
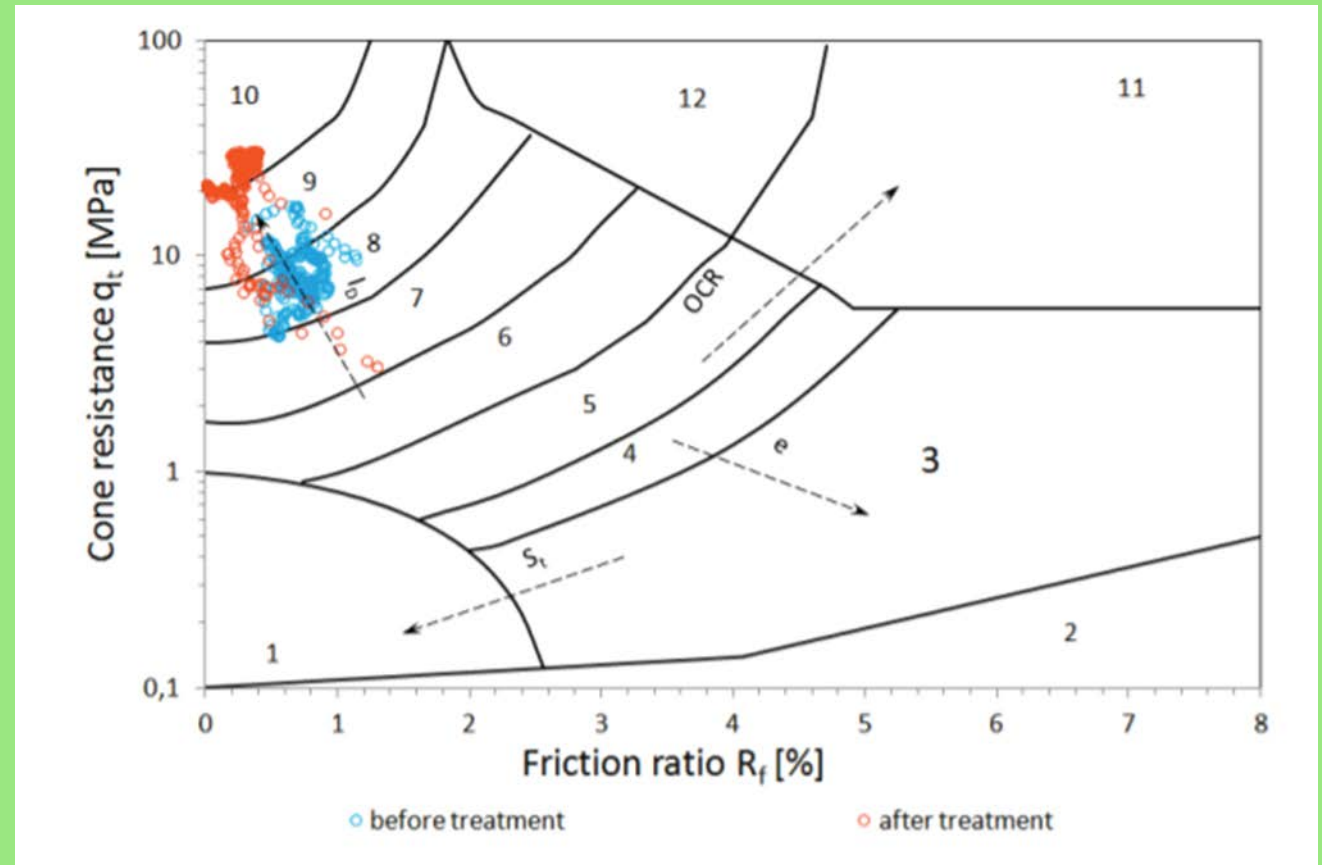


Fig. 2. Comparison of pre- and post-treatment CPTU results, Bałachowski and Kozak [9]

Analysis of soil type behavior using the classification charts and soil type behavior index  $I_c$  provides better, more comprehensive and normalized approach to the soil improvement.

Overall improvement factor based on  $I_c$  regardless of the soil nature and depth.

Despite it is shown in the SBT that the soil properties are changed from silty sand and sand mixtures to sands and gravelly sand. **the soil granulometry remains the same in deed after the deep compaction.**



Soil classification chart before and after treatment

The curved normalized cone resistance versus  $I_c$  was shifted to the right  
The improvement ratio proves the increase in normalized cone resistance after the compaction.

Values of the improvement factor decrease with soil behavior type index, i.e. with fine content.

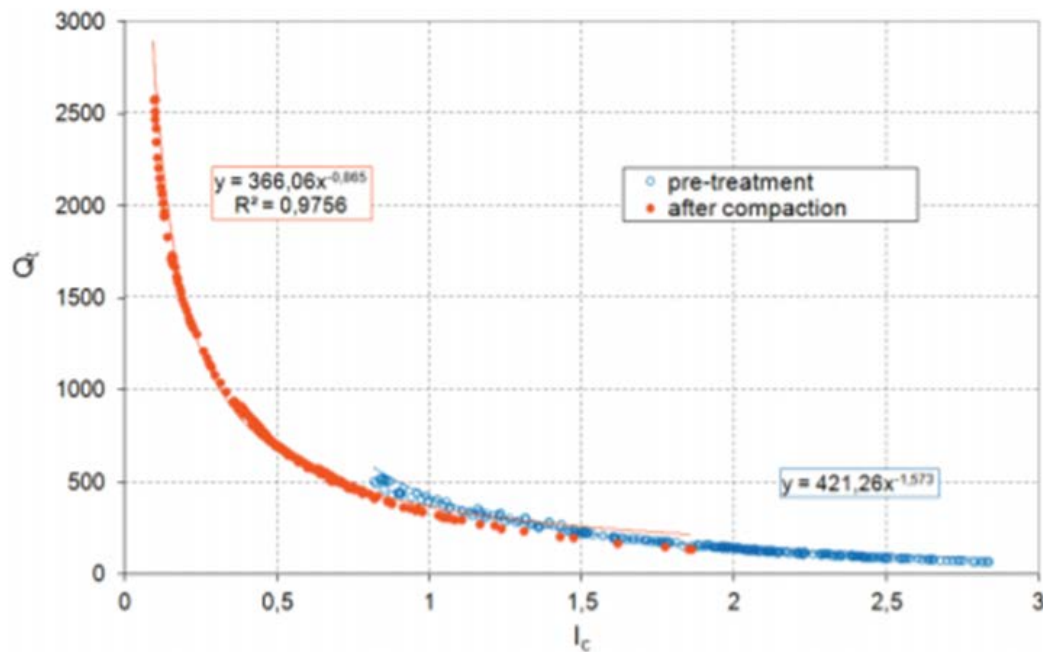


Fig. 6. Normalised cone resistance vs. soil behaviour type index

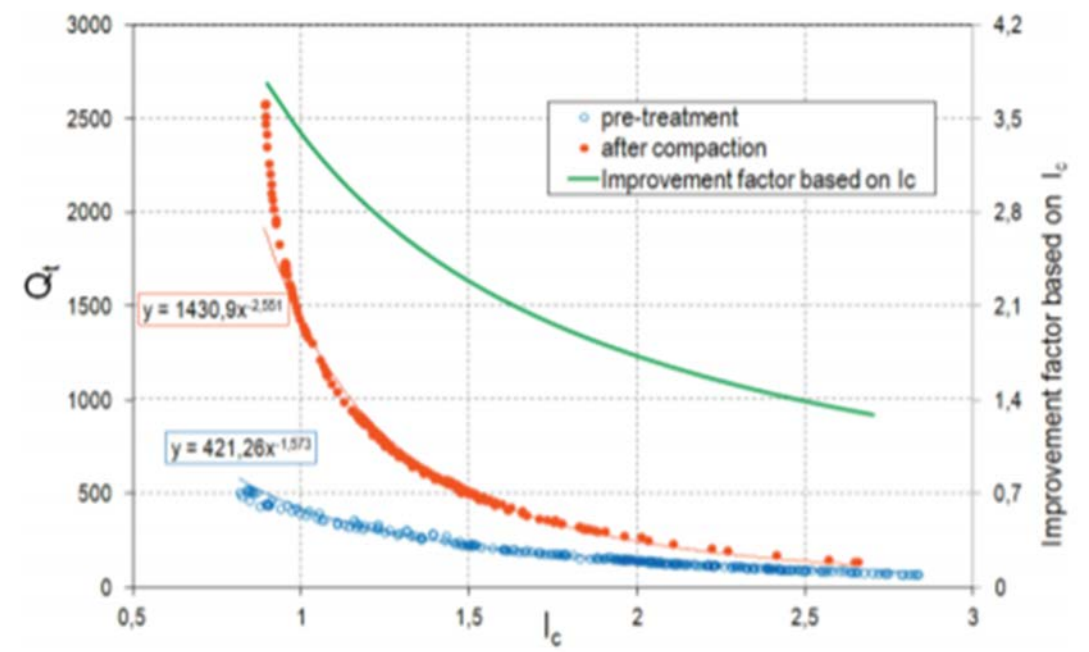


Fig. 7. Shifted normalised cone resistance and improvement factor based on soil behaviour type

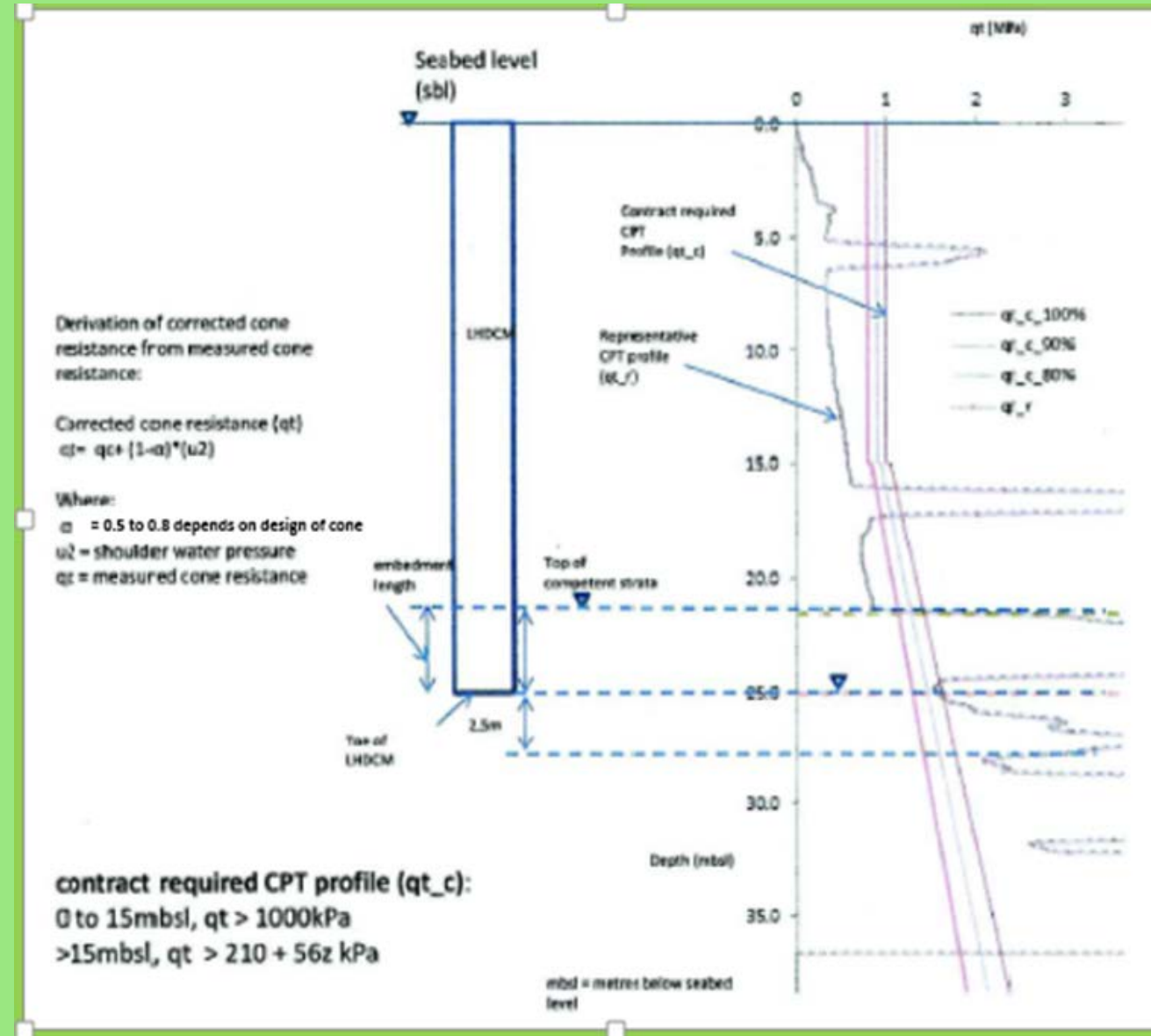
# Application in Deep Cement Mixing

# Application in Deep Cement Mixing in Third Runway

it is specified in the contract required CPT profile ( $q_{t\_c}$  values), as shown as the red line profile at the figure, should be based on the following requirements:

1. For depth of the CPT shallower than 15m below seabed level (msbl), the corrected  $q_t$  should be greater than 1,000 KPa.
2. For depth of greater than 15msbl, the corrected  $q_t$  value should be greater than  $210+56z$  KPa, where  $z$  is the depth below the seabed level.

The raw data  $q_c$  values are transformed into  $q_t$  values, and then calculated with filtering, shortening, and smoothing methods to get the  $q_{t\_r}$  values ( Representative CPT profile). The trial for the potential top level of the competent stratum should be checked such that  $q_{t\_r}$  values should be greater than 90% of the  $q_{t\_c}$  vales and the  $q_{t\_r}$  values should be greater than 80% of  $q_{t\_c}$  along 2m below the potential top level. After that, the data should be further adjusted and assessed with several procedures specified in the Appendix of the contract (C3205) to determine the termination level of the DCM panel required.

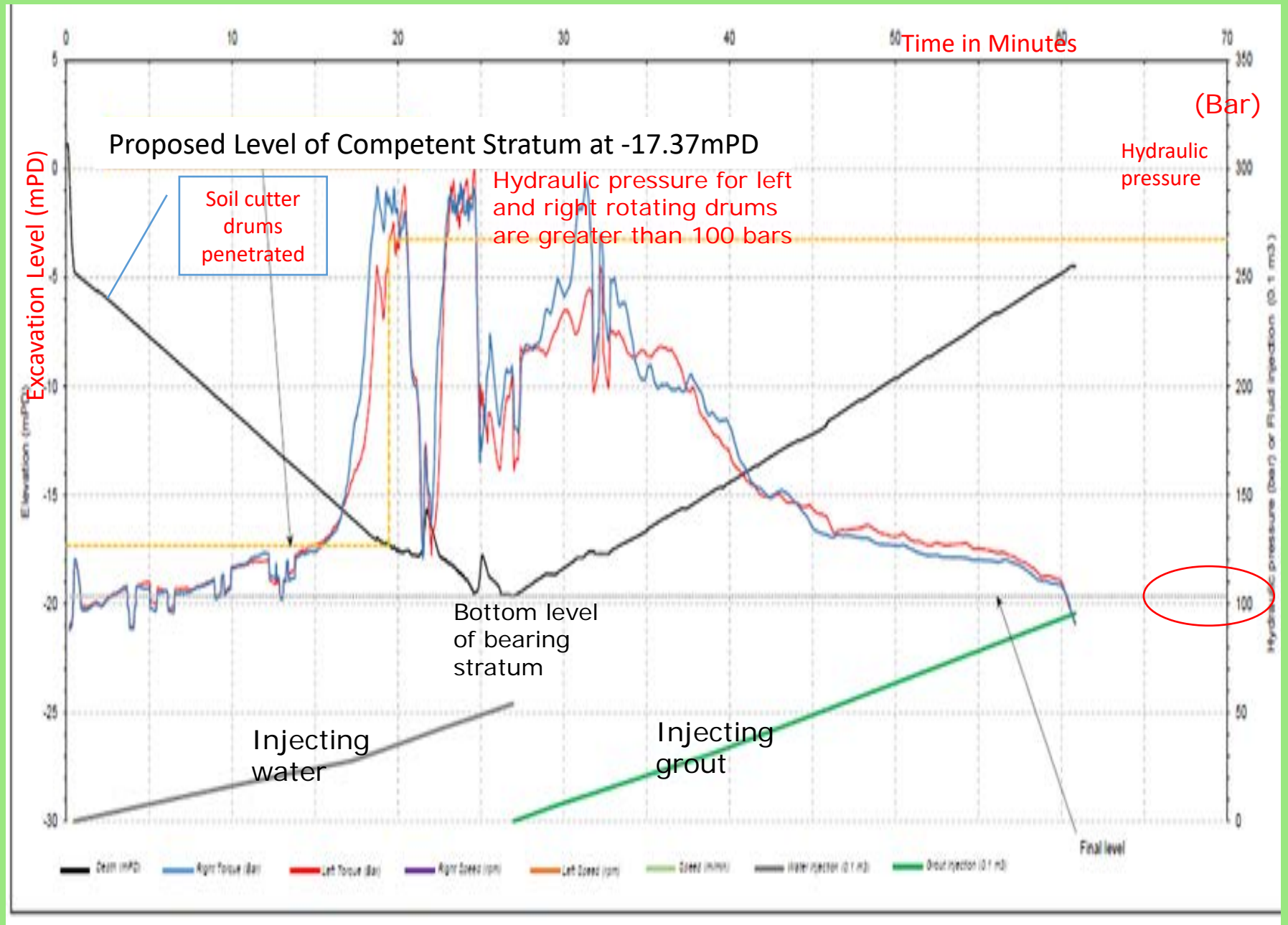




## Termination Depth Related with Soil Cutting Drum Pressure Calibrated with qc of CPT

The qc has been calibrated with torques and pressures at the left and right rotating soil cutting drums during trial with CPT penetration.

During deep mixing, the rotating drums are sustained torque from soil (i.e. pressure at the hydraulic pressure sensors inside the soil cutter drums). Once the pressures in the drums reach 100 bars, it is equivalent to the qc of 1MPa, and therefore, the penetration for the drums can be terminated.



# Some Challenges for Adaptation of CPT in Hong Kong

1. Ground often too hard, and it contains corestones and boulders.
2. Excessive inclination during penetration.
3. Reach refusal in penetration.
4. CPT needs more experience and data analysis with too much expertise.
5. Not as common and simple as SPT in terms of cost and acceptance for adoption by engineers.
6. Technicians are generally not well trained for operation.
7. Equipment is comparatively expensive and needs good maintenance.
8. Professionals and designers are limited to use CPT data in correlation with geotechnical parameters but seldom to use for direct approach in design like foundation etc.
9. Lack of systematic research and statistical data for adoption in design purpose in Hong Kong.
10. It is suggested that GEO could be a leading Governmental Department to provide guidebook as they did in publication of the foundation guidebook.

END

Thank You!